



# **Radar Microphysical Retrievals and Climatology of the Vertical Profiles of Microphysical Variables in Different Weather Systems**

Alexander Ryzhkov

University of Oklahoma / National Severe Storms Laboratory

PROM meeting, Kiel, Germany, 17 July 2023



# Relations for polarimetric microphysical retrievals in ice

Rayleigh approximation, valid at centimeter wavelengths

## (1) Ice water content (IWC)

$$\rho_s(D) = \alpha_0 f_{rim} D^{-1}$$

$$IWC(K_{DP}, Z) = 3.3 \times 10^{-3} (K_{DP} \lambda)^{0.67} Z^{0.33}$$

$$f_{rim} = 1 / (1 - FR)$$

## (2) Mean volume diameter ( $D_m = M_4/M_3$ )

$f_{rim}$  is the degree of riming  
FR is the rime mass fraction

$$D_m(K_{DP}, Z) = 0.67 \left( \frac{Z}{K_{DP} \lambda} \right)^{1/3}$$

$\lambda$  is the radar wavelength in mm

Z is in  $\text{mm}^6 \text{m}^{-3}$

## (3) Total number concentration ( $N_t$ )

$K_{DP}$  is in  $\text{deg}/\text{km}$

IWC is in  $\text{g m}^{-3}$

$$\log(N_t) = 3.39 + 2 \log(IWC) - 0.1Z(\text{dBZ})$$

$D_m$  is in mm

$N_t$  is in  $\text{L}^{-1}$

# Initial assumptions

- Existing polarimetric radar retrieval relations in ice are based on several assumptions regarding the degree of riming  $f_{rim}$ , shape parameter  $\mu$  of gamma PSD, average shape or aspect ratio  $a/b$  of particles, and their orientations commonly characterized by the width of the mean canting angle distribution  $\sigma$ .
- Original retrieval relations were derived assuming Rayleigh scattering approximation for the exponential PSD ( $\mu = 0$ ), unrimed snow ( $f_{rim} = 1$ ) or snow with a certain  $f_{rim}$  factor, average aspect ratio  $a/b = 0.6$ , and the typical value of  $\sigma = 20^\circ$ .
- These initial assumptions seem to be generally good, but they definitely need some additional tune up based on the matching of the results of polarimetric retrievals with the results of in situ microphysical measurements either at the surface or onboard research aircrafts or by comparing polarimetric retrievals with the multi-frequency ones.

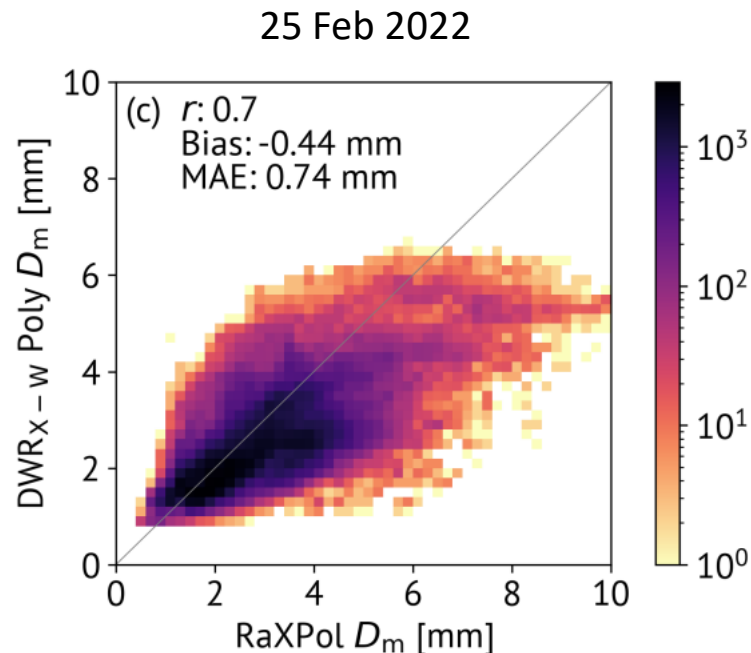
Dunnavan et al. , 2022: Radar Retrieval Evaluation and Investigation of Dendritic Growth Layer Polarimetric Signatures in a Winter Storm. *Journal of Applied Meteorology and Climatology*, **61**, 11, 1679–1705,

# Comparison of multifrequency and polarimetric microphysical retrievals during IMPACTS

Dunnavan et al. 2023: High-resolution snowstorm measurements and retrievals using cross-platform multi-frequency and polarimetric radars. GRL, 50, 11, 1 - 11

**NASA ER-2 aircraft multifrequency radar measurements (X, Ku, Ka, W bands)**

**OU RaXPoL X-band ground-based polarimetric radar**



$$D_m = 1.31 + 0.146 DWR + 0.0209 DWR^2 - 4.27 \times 10^{-4} DWR^3$$

$$DWR = DWR_{X-W}$$

$$D_m = 0.336 \left( \frac{Z}{K_{DP}} \right)^{1/3}$$

The agreement between two estimates of the mean volume diameter  $D_m$  is good for  $D_m < 6$  mm

# A synthesis of polarimetric and dual-frequency radar observations of winter storms for estimating ice water content

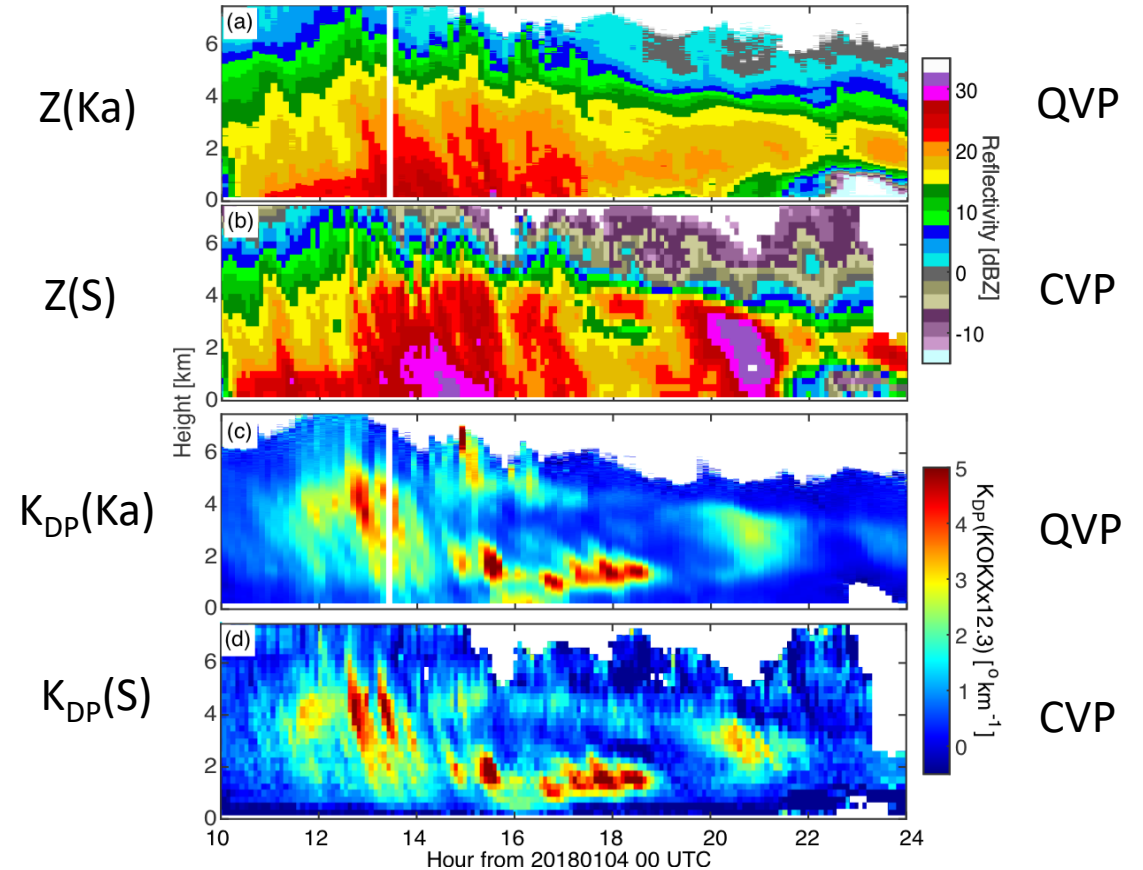
Joint project of the University of Oklahoma and Stony Brook University



$IWC(N_t, Z)$

$IWC(K_{DP}, Z, f_{rim})$

- Using QVP and CVP techniques, it is possible to match the measurements of non-collocated radars and estimate the dual-wavelength ratios
- The product  $K_{DP}\lambda$  is invariant from S- to Ka-band frequencies
- Polarimetric microphysical retrieval algorithms originally developed for S band can be applied to millimeter wavelengths after some modification



## How to modify existing polarimetric retrieval relations for millimeter wavelengths?

$$D_m = 0.67 \left( \frac{Z}{K_{DP} \lambda} \right)^{1/3} = 0.67 \left( \frac{10^{0.1 \text{dBZ}}}{K_{DP} \lambda} \right)^{1/3} \rightarrow D_m = 0.67 \left( \frac{10^{0.1(\text{dBZ} + DWR)}}{K_{DP} \lambda} \right)^{1/3}$$

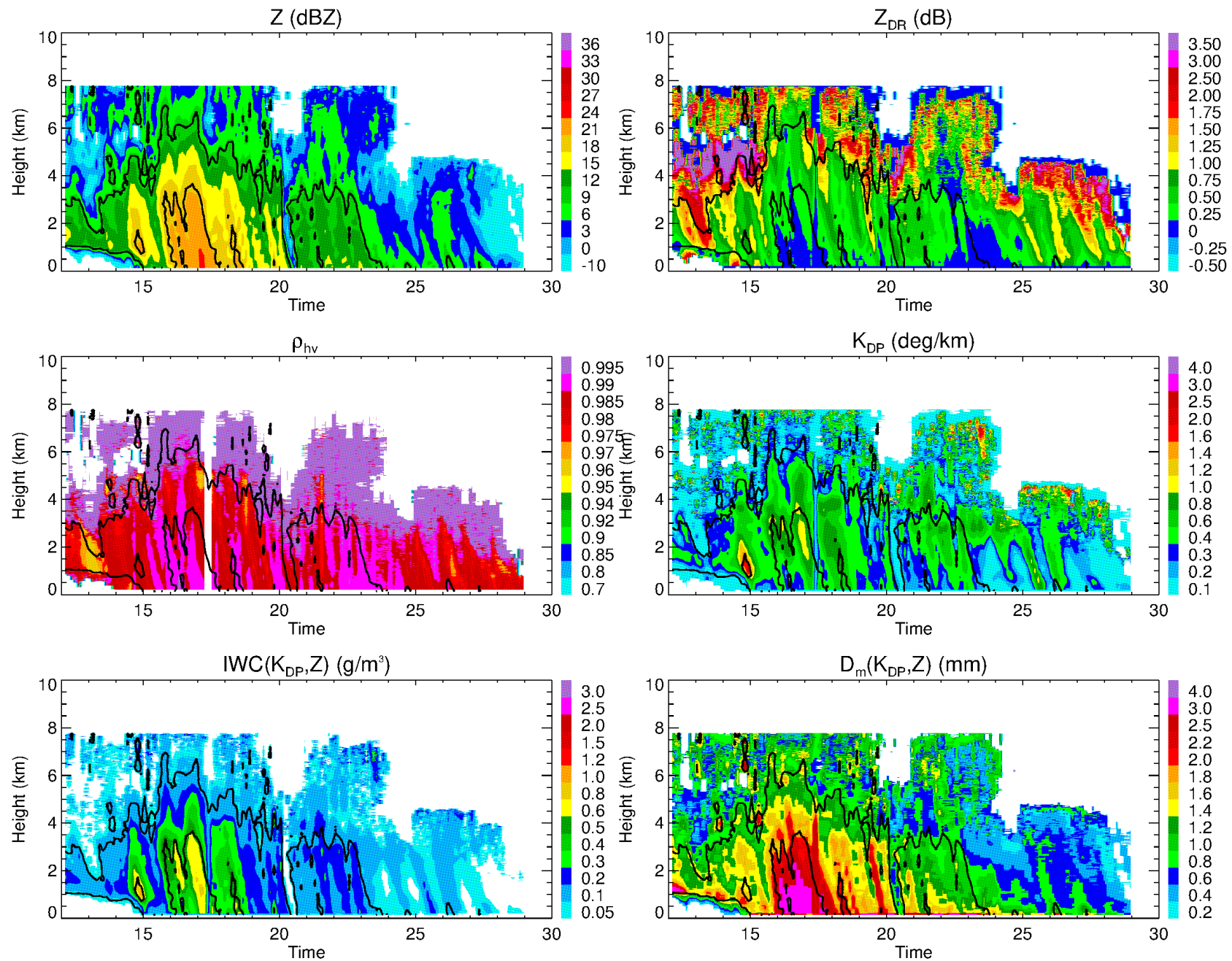
$$DWR_{S/Ka} \approx D_m^{1.73}$$

$$D_m = 0.67 \left( \frac{10^{0.1(\text{dBZ} + D_m^{1.73})}}{K_{DP} \lambda} \right)^{1/3}$$

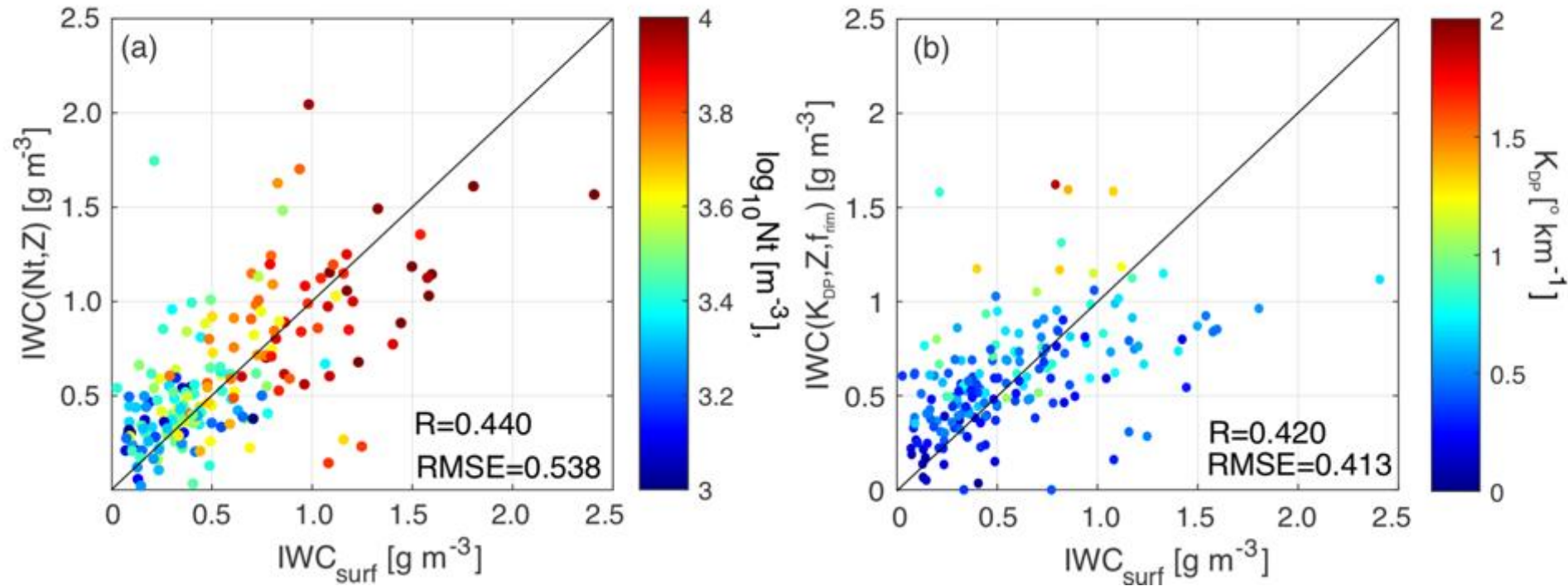
$$IWC = 7.09 \times 10^{-2} f_{rim}^{-0.94} (K_{DP} \lambda)^{0.66} 10^{0.028(\text{dBZ} + D_m^{1.73})}$$

$$\log(N_t) = 3.39 + 2 \log(IWC) - 0.1(\text{dBZ} + D_m^{1.73})$$

# Ka-band polarimetric retrievals, 2017/12/09



Scatterplots of IWC measured by pluvio / disdrometer versus their estimates from  $IWC(K_{DP}, Z, f_{rim})$  and  $IWC(N_t, Z)$  relations for 7 KASPR winter cases





# Nonpolarimetric retrieval relations

Using  $N_t$  instead of  $K_{DP}$  in combination with  $Z$

$$IWC(N_t, Z) = f(\mu) N_t^{1/2} Z^{1/2}$$

$$\mu = 0$$

$$IWC = 0.0143 N_t^{1/2} Z^{1/2}$$

$$\mu = -0.5$$

$$IWC = 0.0102 N_t^{1/2} Z^{1/2}$$

- The advantage of the  $IWC(N_t, Z)$  estimate is that it is insensitive to the variability of particles' shapes, orientations, and degree of riming
- The idea is to polarimetrically estimate  $N_t$  in the dendritic growth layer (DGL) where polarimetric signatures are most pronounced and project  $N_t$ (DGL) down to the surface using some physical considerations

# Conservation of precipitation flux (collision – coalescence only)

## Simple Rayleigh relations for exponential PSD

$$IWC \propto f_{rim} N_t D_m^2 \quad S \propto f_{rim}^{1/4} N_t D_m^{2.2} \quad Z \propto f_{rim}^2 N_t D_m^4 \quad K_{DP} \propto F_s F_o f_{rim}^2 N_t D_m$$

Assuming conservation of S and constant  $f_{rim}$ , the product  $C = N_t D_m^{2.2}$  is constant. Therefore

$$IWC \propto C f_{rim} D_m^{-0.2} \quad Z \propto C f_{rim}^2 D_m^{1.8} \quad K_{DP} \propto C F_s F_o f_{rim}^2 D_m^{-1.2}$$

$$N_t \propto S^{2.22} Z^{-1.22}$$

$$N_t = N_{t,top} \exp[-0.28(Z - Z_{top})]$$

*Z is in dB*

$$D_m = D_{m,top} \exp[0.13(Z - Z_{top})]$$

# Simple analytical expressions for steady-state vapor growth and collision-coalescence particle size distribution parameter profiles

Dunnavan, E. and A. Ryzhkov, 2023: Simple analytical expressions for steady-state vapor growth and collision – coalescence particle size distribution parameter profiles. JAS, conditionally accepted.

*Vertical advection*

*Vapor deposition*

*Collision-coalescence gain and loss*

$$\frac{\partial[v_t \cdot n(x, z)]}{\partial z} = -\frac{\partial}{\partial x} \left[ \frac{dx}{dt} \cdot n(x, z) \right] + \frac{1}{2} \int_0^x n(x-y, z)n(y, z)K(x-y, y)dy - n(x, z) \int_0^\infty n(y, z)K(x, y)dy$$

$$\frac{dD_m}{dh} = C_{D_m} \bar{E} N_t D_m^3$$

$$D_m(h) = D_{m,top} e^{C_{D_m} \bar{E} N_{t,top} D_{m,top}^2 (h_{top} - h)}$$

$$\frac{dN_t}{dh} = -C_{N_t} \bar{E} N_t^2 D_m^2$$

$$N_t(h) = N_{t,top} e^{-C_{N_t} \bar{E} N_{t,top} D_{m,top}^2 (h_{top} - h)}$$

*Pure collision-coalescence*

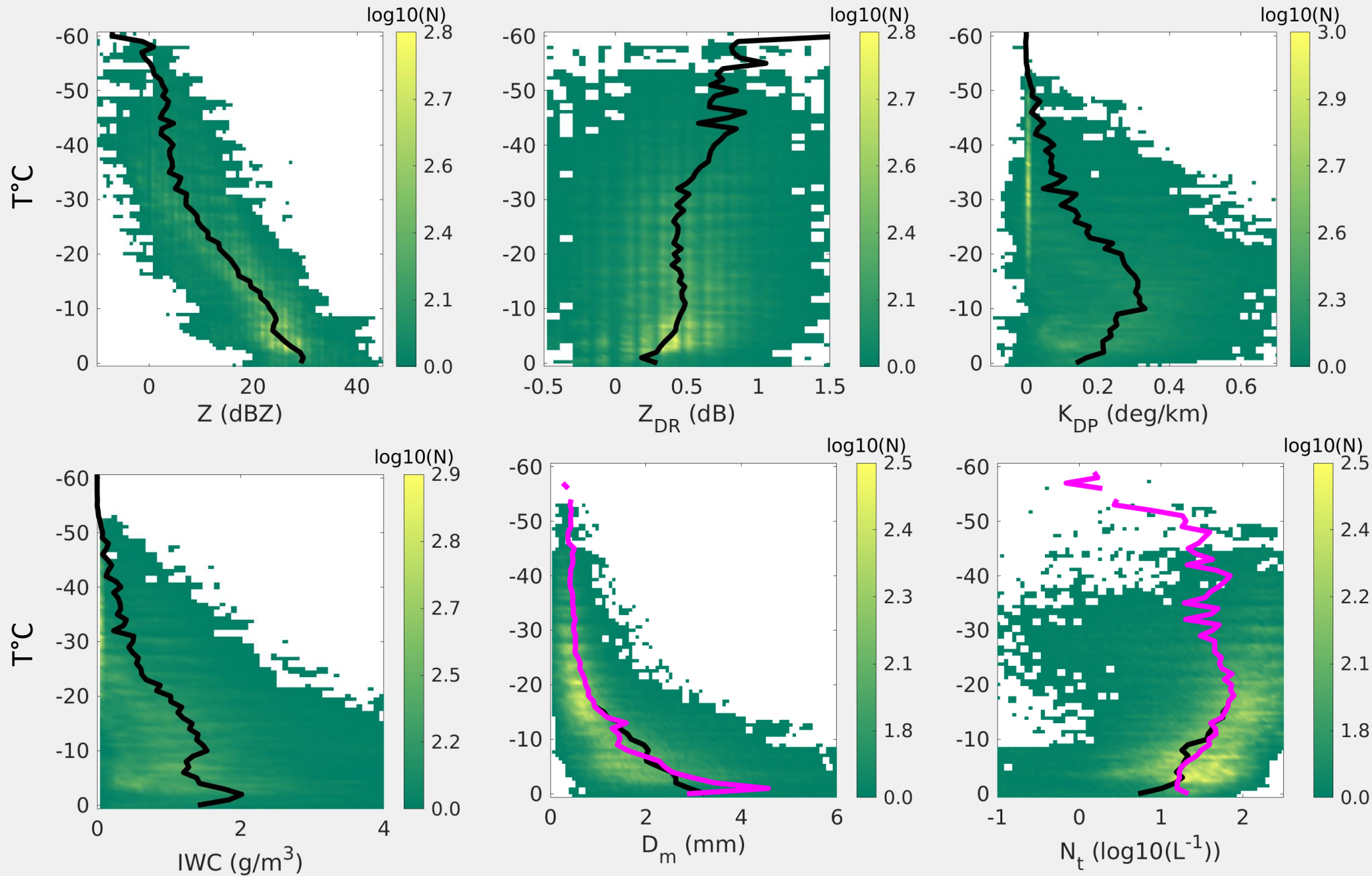
$$N_t \approx N_{t,top} e^{-0.23(Z-Z_{top})} \quad D_m \approx D_{m,top} e^{0.11(Z-Z_{top})}$$

$\bar{E}$  is the collision-coalescence efficiency

$C_{D_m}$  and  $C_{N_t}$  depend on the exponents of the  $m(D)$  and  $v_t(D)$  relations

*Radar reflectivity Z is in dB*

# Summary of CVPs for 25 synoptic snowstorms



## Optimal relations for synoptic heavy snow

$$D_m(Z) = D_{m,top} \exp[0.12(Z - Z_{top})]$$

$$D_m(h) = D_{m,top} \exp[0.0054 N_{t,top} D_{m,top}^2 (h_{top} - h)]$$

$$N_t(h) = N_{t,top} \exp[-0.0026 N_{t,top} D_{m,top}^2 (h_{top} - h)]$$

$N_t$  is in 1/L,  $D_m$  is in mm,  $h$  is in km,  $Z$  is in dBZ

$h_{top}$  means the DGL height

# Conclusions

- The original methodology for polarimetric radar microphysical retrievals in ice valid at centimeter wavelengths was modified for utilization at millimeter radar wavelengths (Ka band)
- A new nonpolarimetric retrieval technique utilizing combination of  $Z$  and  $N_t$  has been suggested
- This technique assumes that  $N_t$  has to be polarimetrically estimated at a single altitude with pronounced polarimetric signatures (e.g., DGL) and its full vertical profile can be restored using simple analytical formulas derived from physical considerations
- The new technique quantifies the collision – coalescence process in snow and rain particularly well and shows the best promise for heavy synoptic snowfall
- The steady-state model equations can be used to estimate PSD parameters and precipitation rates in regions where radar retrievals are either difficult to perform due to instrumental uncertainties or in areas where radar variables are unavailable