

# **Microphysical and thermodynamic retrievals using polarimetric radars**

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# Layout of the talk

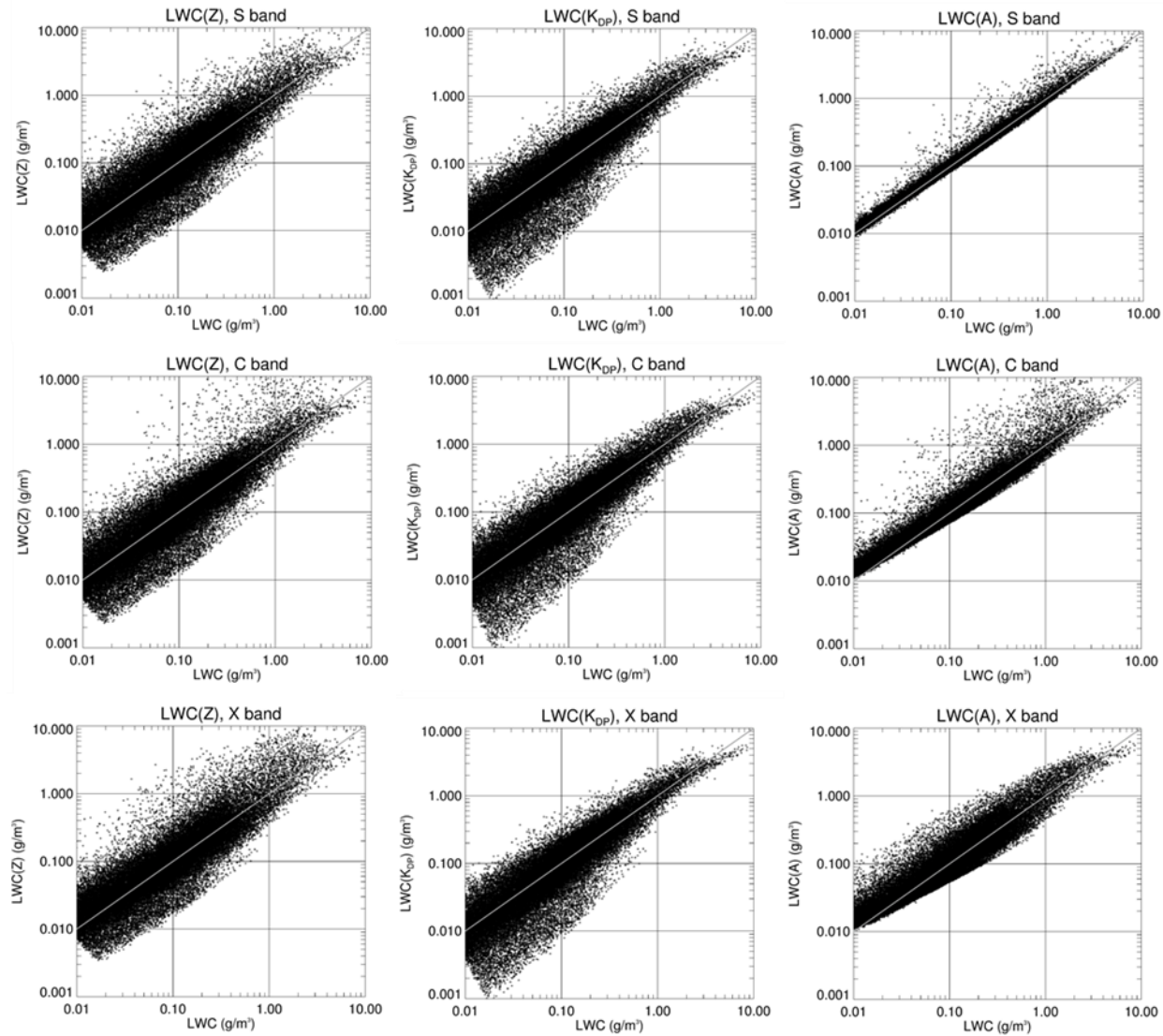
- Microphysical retrievals
- Thermodynamic retrievals
- Some thoughts on forward operators

## **Two possible ways to optimize microphysical parameterization of NWP models**

- **Radar microphysical retrievals**
- **Forward radar operators**

# **Radar microphysical retrievals**

# Estimation of liquid water content (LWC)



# Estimation of median volume diameter of raindrops

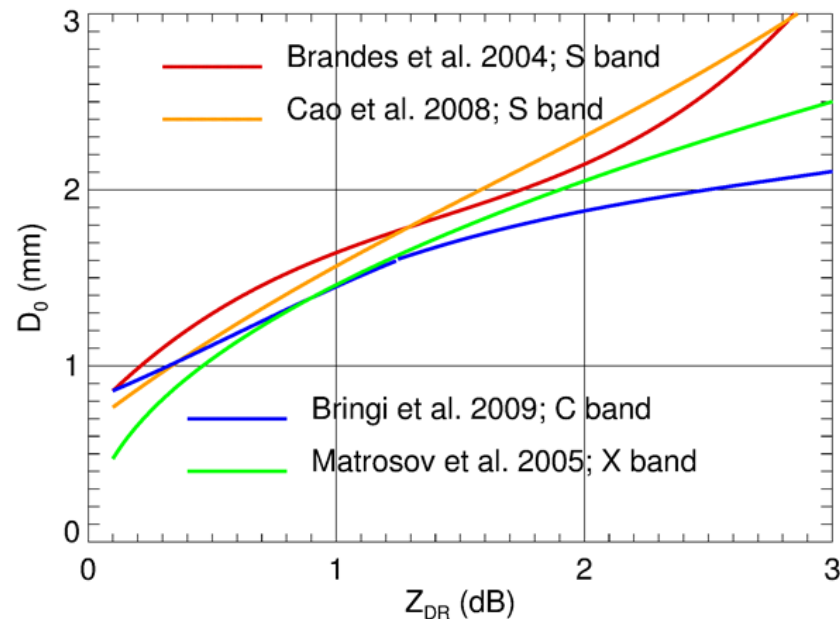
**S band**

$$D_0 = 0.171Z_{DR}^3 - 0.725Z_{DR}^2 + 1.48Z_{DR} + 0.717 \quad \text{Brandes et al. (2002) Florida}$$

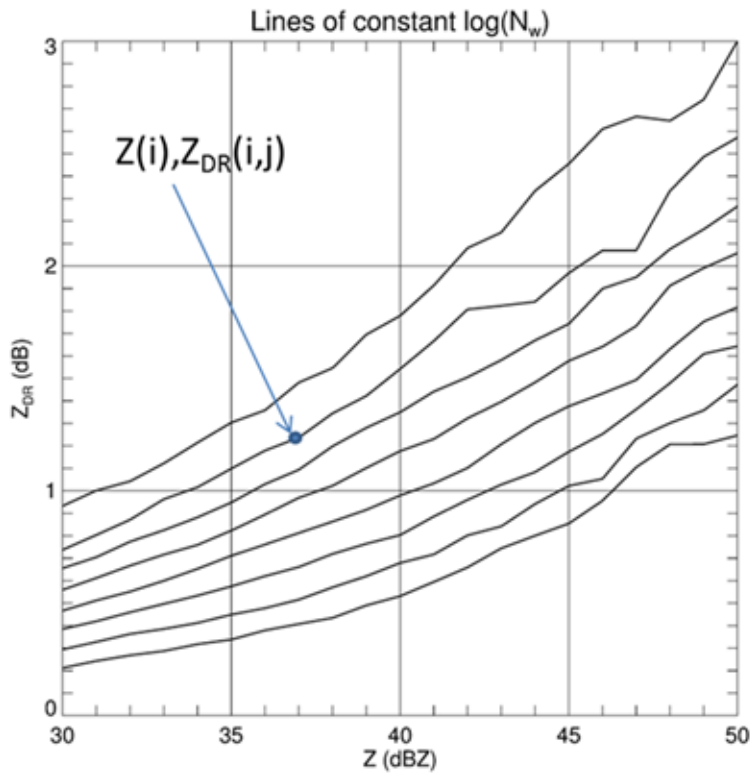
$$D_0 = 0.0436Z_{DR}^3 - 0.216Z_{DR}^2 + 1.08Z_{DR} + 0.659 \quad \text{Cao et al. (2008) Oklahoma}$$

**C band**  $\left\{ \begin{array}{l} D_0 = 0.0203Z_{DR}^4 - 0.149Z_{DR}^3 + 0.221Z_{DR}^2 + 0.557Z_{DR} + 0.801 \quad \text{if } Z_{DR} < 1.25 \text{ dB} \\ D_0 = 0.0355Z_{DR}^3 - 0.302Z_{DR}^2 + 1.06Z_{DR} + 0.684 \quad \text{if } Z_{DR} > 1.25 \text{ dB} \end{array} \right. \quad \begin{array}{l} \text{Bringi et} \\ \text{al. (2009)} \\ \text{Darwin} \end{array}$

**X band**  $D_0 = 1.46Z_{DR}^{0.49} \quad \text{Matrosov et al. (2007) California}$



# Estimation of normalized concentration $N_w$



$\log(N_w)$

$\log(N_w)$  index

< 2.75

0

2.75 - 3.00

1

3.00 - 3.25

2

3.25 - 3.50

3

3.50 - 3.75

4

3.75 - 4.00

5

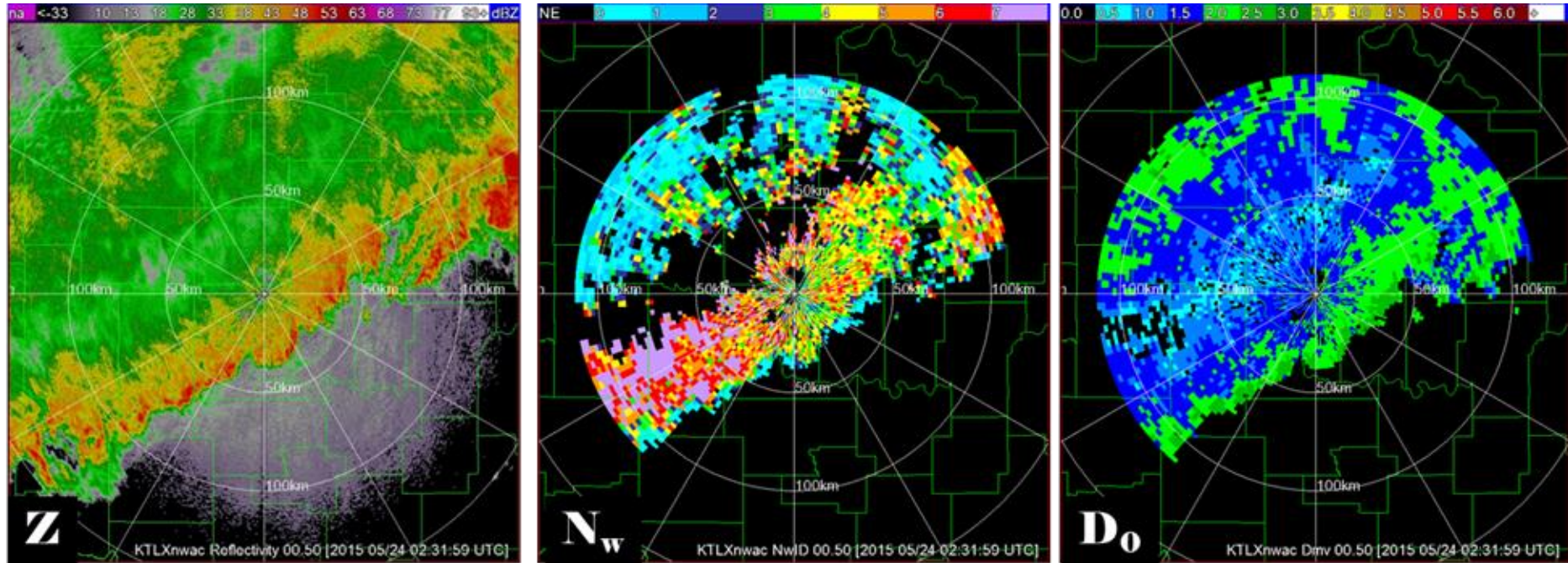
4.00 - 4.25

6

> 4.25

7

# Spatial distribution of Z, N<sub>w</sub>, and D<sub>0</sub> in MCS



Log(N<sub>w</sub>) index



Log(N<sub>w</sub>)

< 2.75   2.75 - 3   3 - 3.25   3.25 - 3.5   3.5 - 3.75   3.75 - 4   4 - 4.25   > 4.25



# Ice microphysical retrievals

- All existing ice microphysical retrievals are based on the use of radar reflectivity  $Z$  measured at a single or multiple radar frequencies
- The  $IWC(Z)$  relations are notoriously inaccurate because they are strongly parameterized by (a) mass-weighted diameter  $D_m$ , (b) total concentration  $N_t$ , and (c) density (or degree of riming)

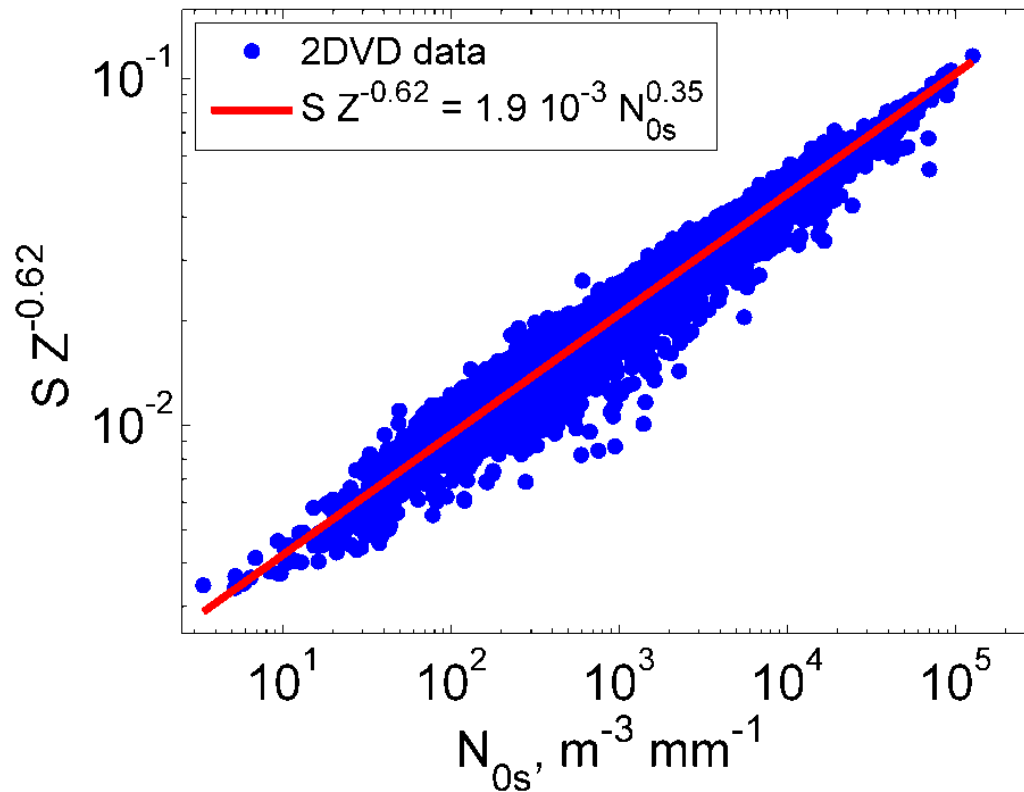
$$N(D) = N_{0s} \exp(-\Lambda_s D) \quad \rho(D) = \alpha D^{-1} \quad \Lambda_s = 4 / D_m$$

$$IWC = 3.81 10^{-4} \alpha^{-0.2} N_{0s}^{0.4} Z^{0.6} \quad IWC = 3.09 10^{-3} \frac{Z}{\alpha D_m^2}$$

- $D_m$  varies 2 orders of magnitude
- $N_t$  varies 4 orders of magnitude
- $\alpha$  changes at least by a factor of 4

# Dependence of the multiplier in the S(Z) relation on $N_{0s}$ retrieved from the snow disdrometer measurements

*Bucovcic et al. 2018*



# Basic formulas for polarimetric ice retrievals

$$Z = \frac{|K_i|^2}{|K_w|^2} \frac{1}{\rho_i^2} \int \rho_s^2(D) D^6 N(D) dD$$

$$K_{DP} = \frac{0.27\pi}{\lambda \rho_i^2} \left( \frac{\epsilon_i - 1}{\epsilon_i + 2} \right)^2 \int F_{shape} F_{orient} \rho_s^2(D) D^3 N(D) dD$$

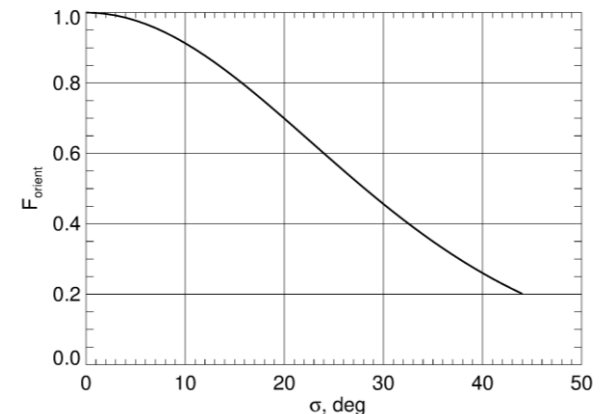
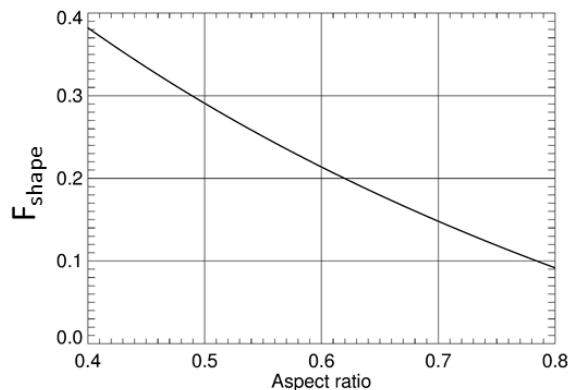
***Z is proportional to the 4<sup>th</sup> moment of snow SD whereas  
K<sub>DP</sub> is proportional to its 1<sup>st</sup> moment***

## Exponential size distribution

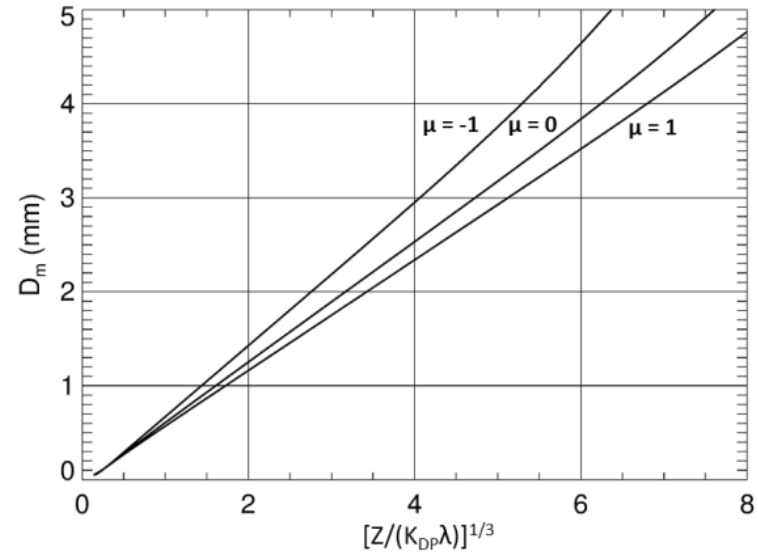
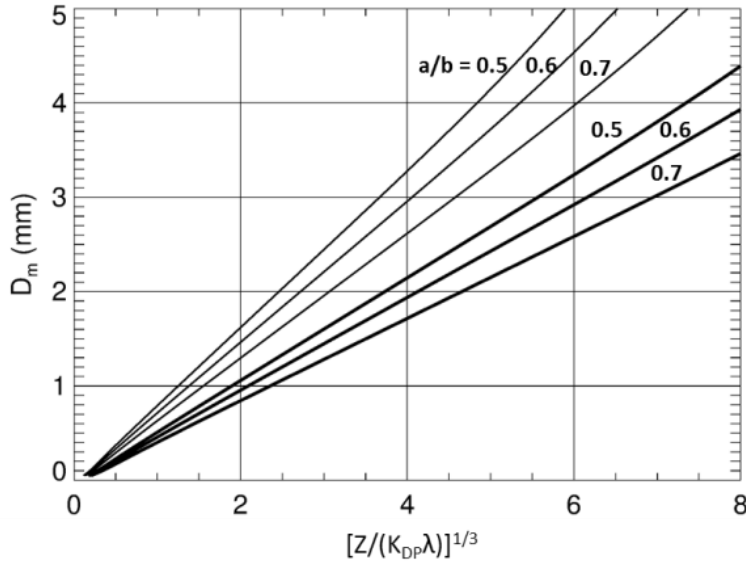
$$Z = 5.30 \cdot 10^{-3} \alpha^2 N_{0s} D_m^5$$

$$K_{DP} = 1.02 \cdot 10^{-2} F_{shape} F_{orient} \frac{\alpha^2 N_{0s}}{\lambda} D_m^2$$

$$\frac{Z}{K_{DP} \lambda} = 0.520 \frac{D_m^3}{F_{shape} F_{orient}}$$



# Median volume diameter as a function of $[Z/(K_{DP}\lambda)]^{1/3}$



Thin lines –  $\sigma = 10^\circ$

Thick lines –  $\sigma = 40^\circ$

$$\sigma = \frac{180}{\pi} \frac{L_{dr}^{1/2}}{(1 + Z_{dr}^{-1} - 2\rho_{hv} Z_{dr}^{-1/2})^{1/2}}$$

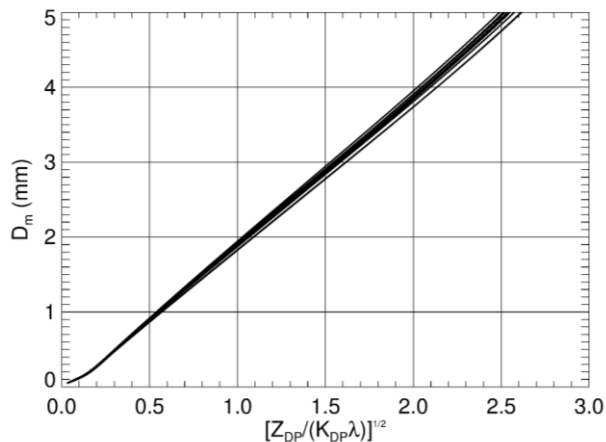
The width of the canting angle distribution  $\sigma$  in ice typically varies between  $10^\circ$  and  $40^\circ$ . This is a serious source of uncertainty

# Utilization of the $Z_{DP}/K_{DP}$ ratio for estimation of $D_m$

$$Z_{DP} = Z_h - Z_v$$

$$h = cL^d$$

Crystal habit		c	d
1.	Dendrites	0.038	0.377
1.	Solid thick plate	0.230	0.778
1.	Hexagonal plates	0.047	0.474
1.	Solid columns (L/h < 2)	0.637	0.958
1.	Solid columns (L/h > 2)	0.308	0.927
1.	Hollow columns (L/h < 2)	0.541	0.892
1.	Hollow columns (L/h > 2)	0.309	0.930
1.	Long solid columns	0.128	0.437
1.	Solid bullets (L < 0.3 mm)	0.250	0.786
1.	Hollow bullets (L > 0.3 mm)	0.185	0.532
1.	Elementary needles	0.073	0.611



$$D_m = -0.1 + 2.0\eta \quad \eta = \left( \frac{Z_{DP}}{K_{DP}\lambda} \right)^{1/2}$$

$$\gamma = \alpha D_m^2 \approx 0.78\eta^2 = 0.78 \frac{Z_{DP}}{K_{DP}\lambda}$$

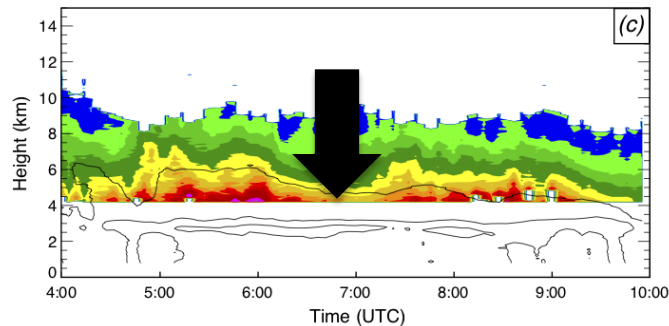
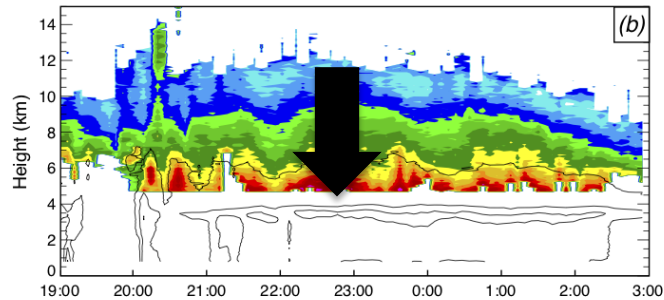
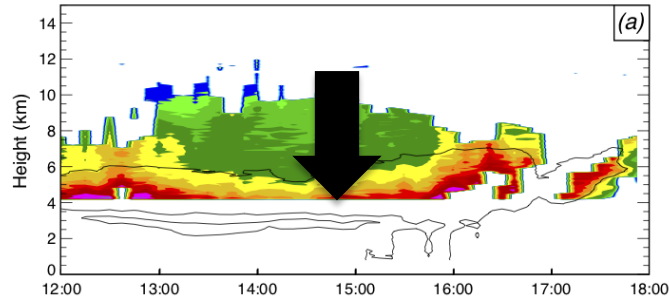
$$\log(N_t) = 0.1Z(\text{dBZ}) - 2\log(\gamma) - 1.33$$

$$IWC \approx 4.010^{-2} \frac{K_{DP}\lambda}{1 - Z_{dr}^{-1}}$$

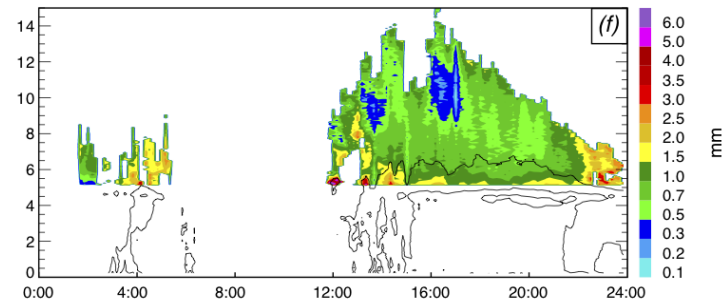
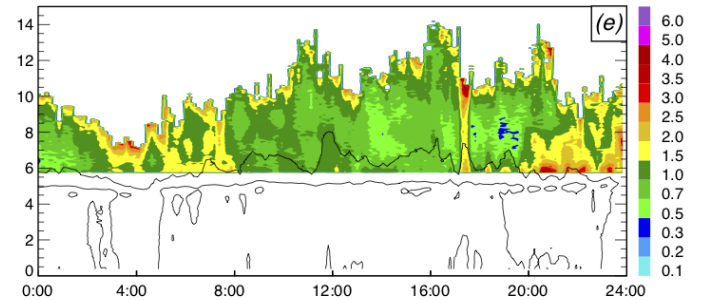
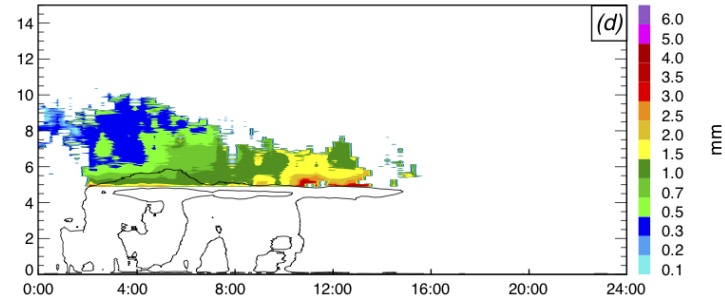
The  $Z_{DP}/K_{DP}$  ratio provides estimate of  $D_m$  which is immune to the particles shape and orientation

# Midlatitude vs. Tropical MCSs

$D_m$ : Midlatitude

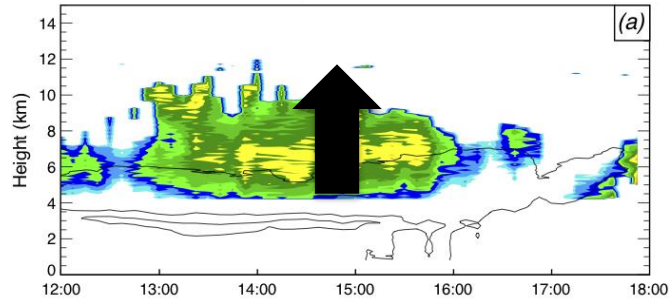


$D_m$ : Tropical

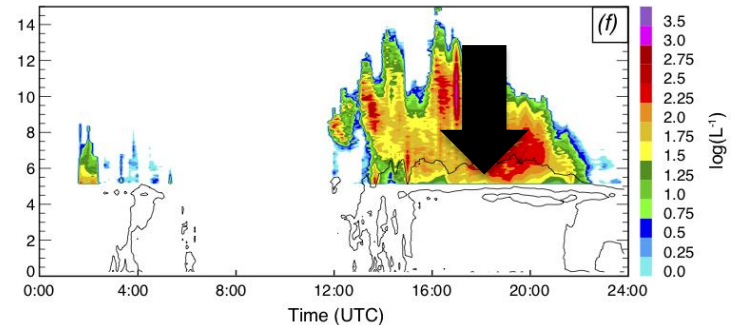
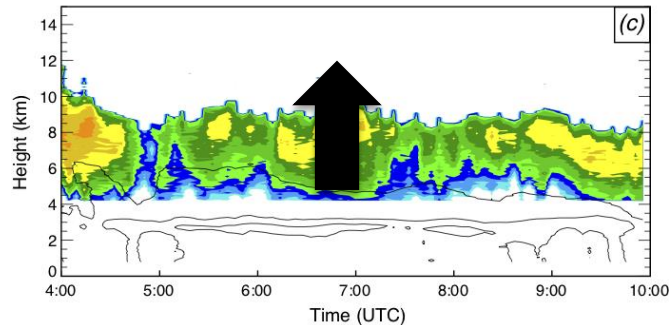
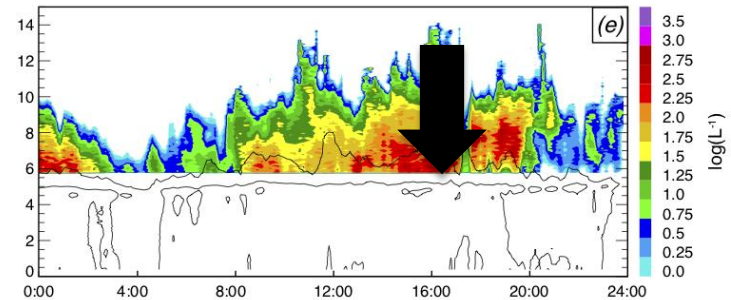
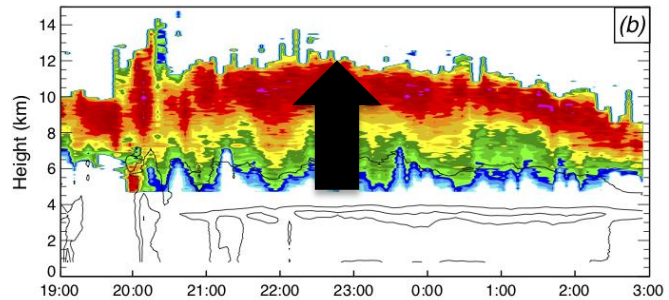
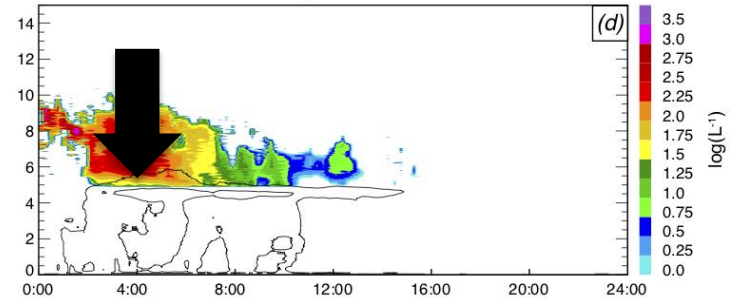


# Midlatitude vs. Tropical MCSs

$\log(N_t)$ : Midlatitude

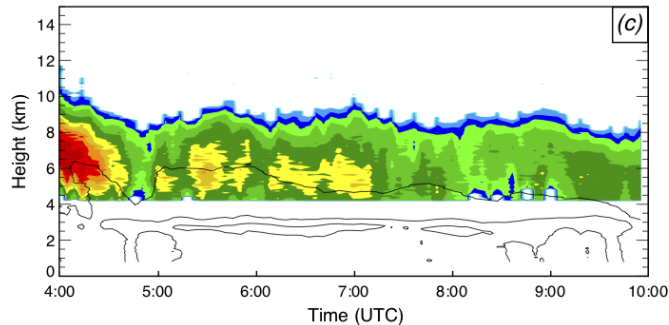
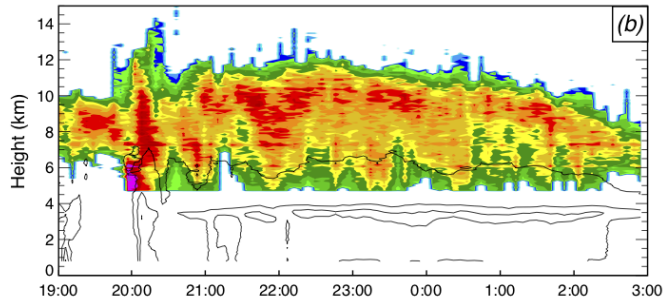
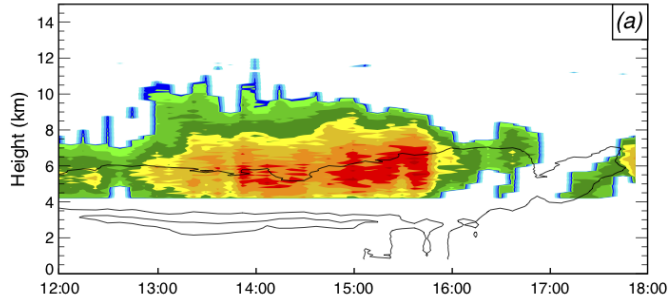


$\log(N_t)$ : Tropical

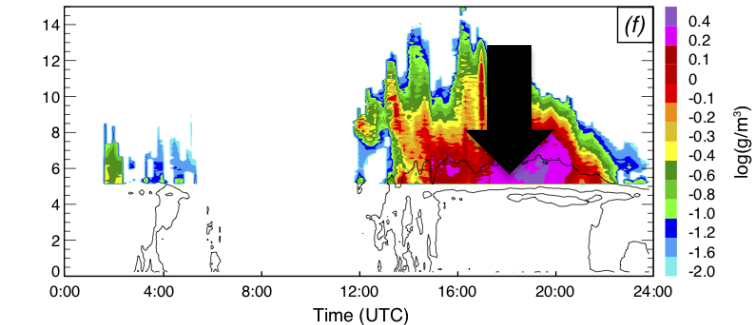
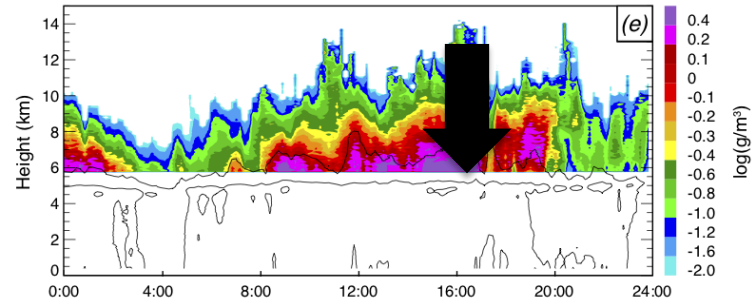
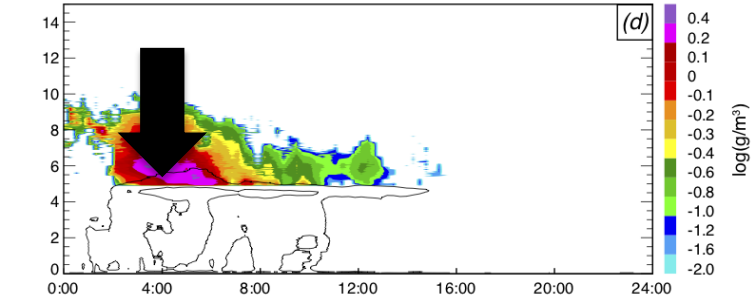


# Midlatitude vs. Tropical MCSs

log(IWC): Midlatitude



log(IWC): Tropical

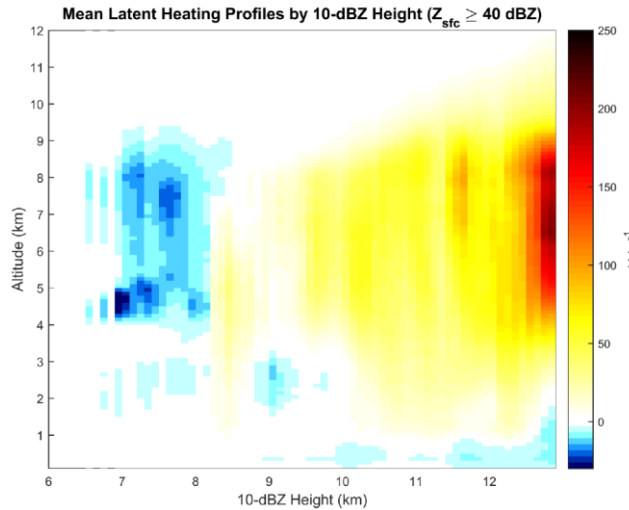




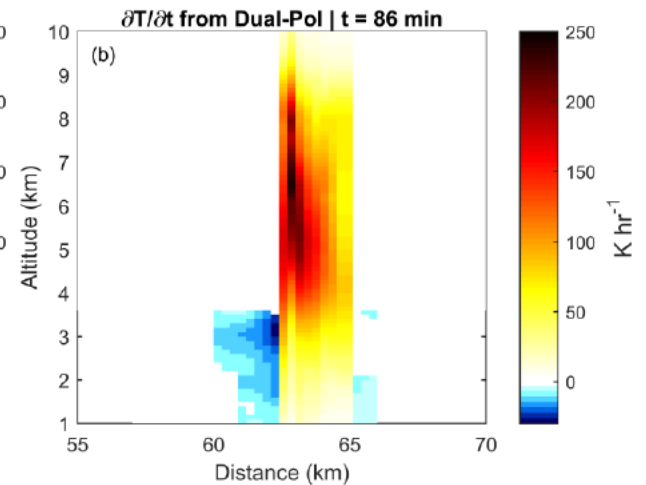
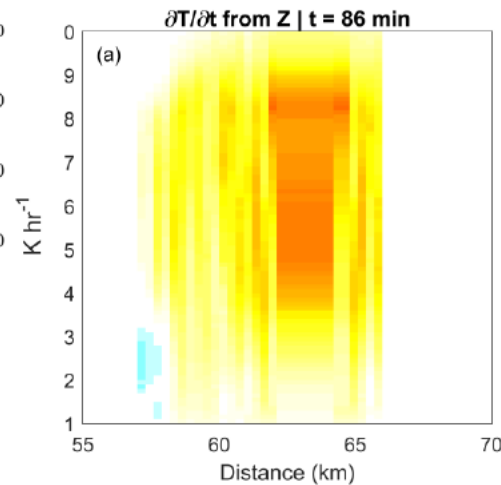
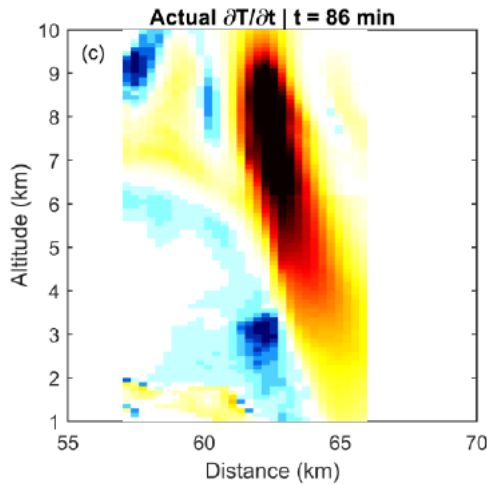
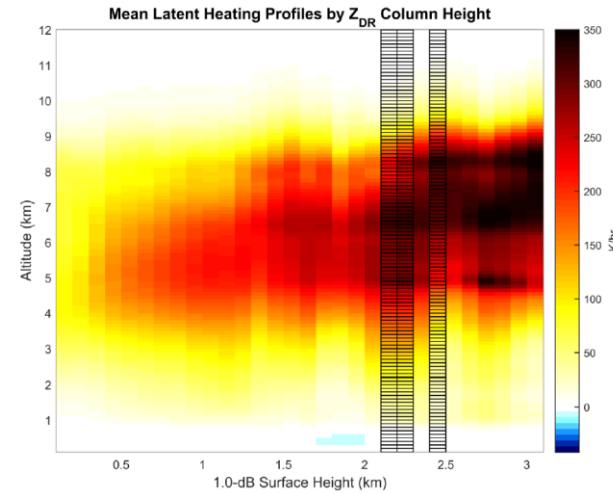
# **Radar thermodynamic retrievals**

# Warming / cooling rates retrieved from radar data

10-dBZ height used as an index of lookup tables



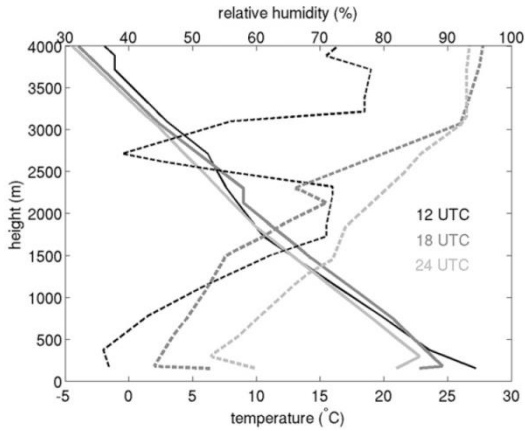
1-dB  $Z_{DR}$  column height used as an index of lookup tables



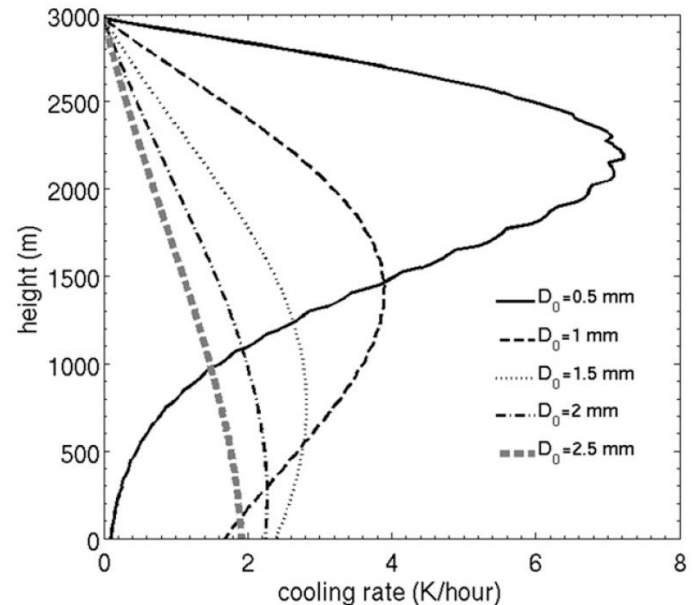
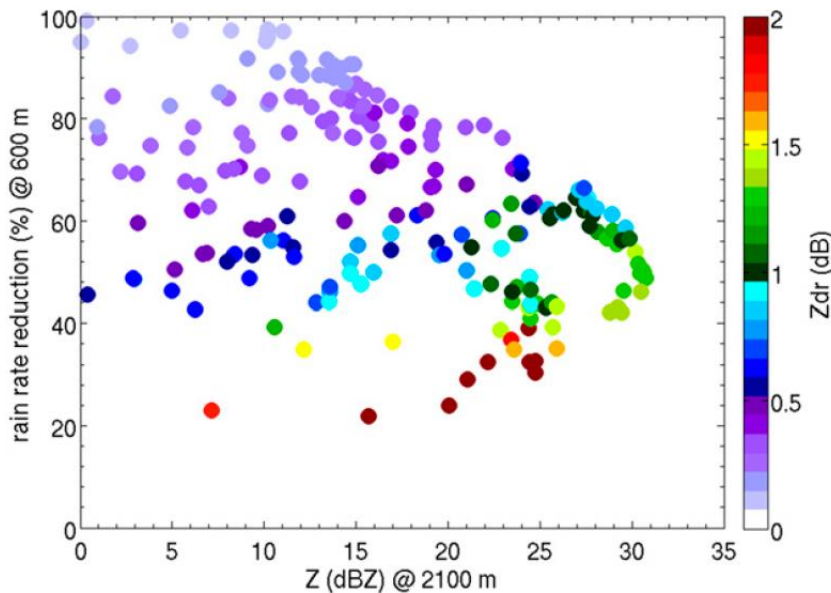
The use of the  $Z_{DR}$  column - based index produces much more realistic warming / cooling rates than Z - based index of latent heat profiles

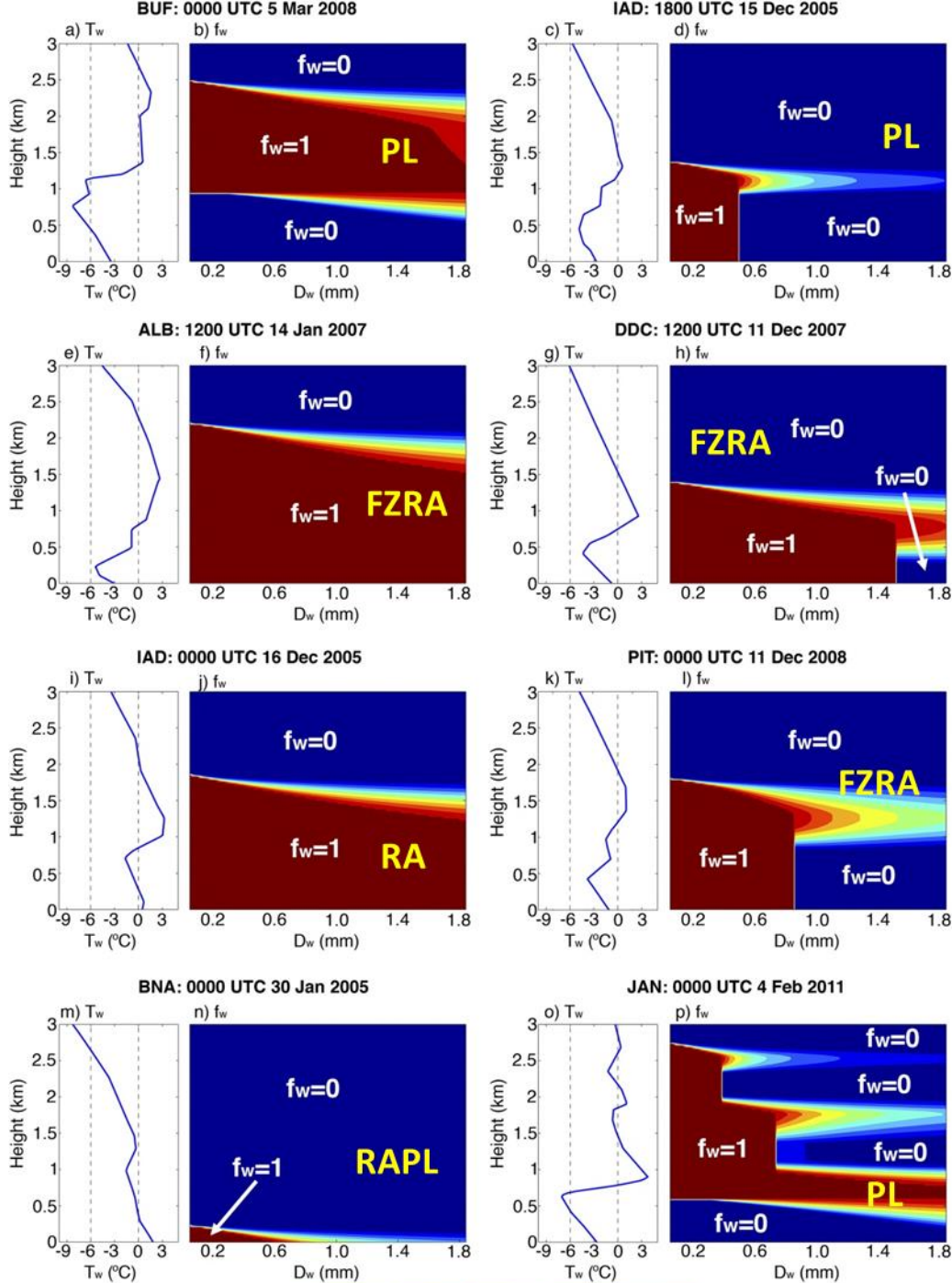
# Cooling rates due to evaporation of rain

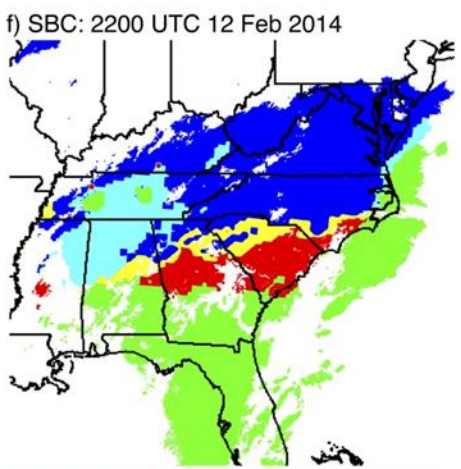
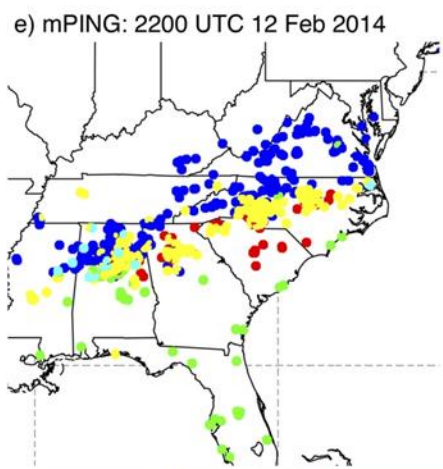
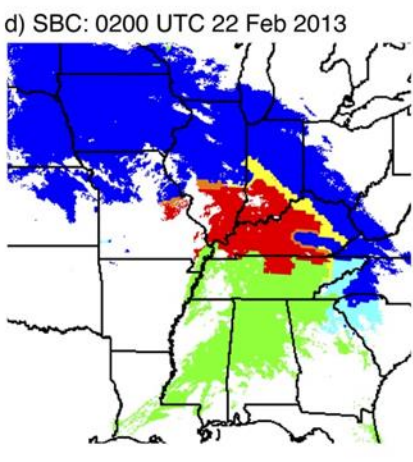
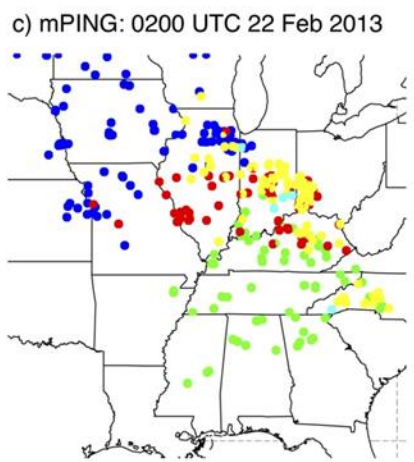
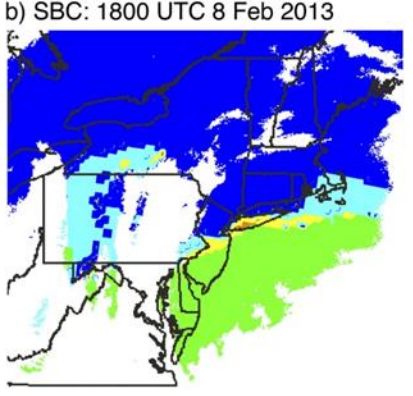
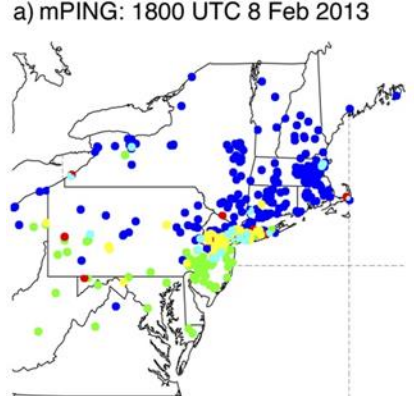
Xie et al. 2016



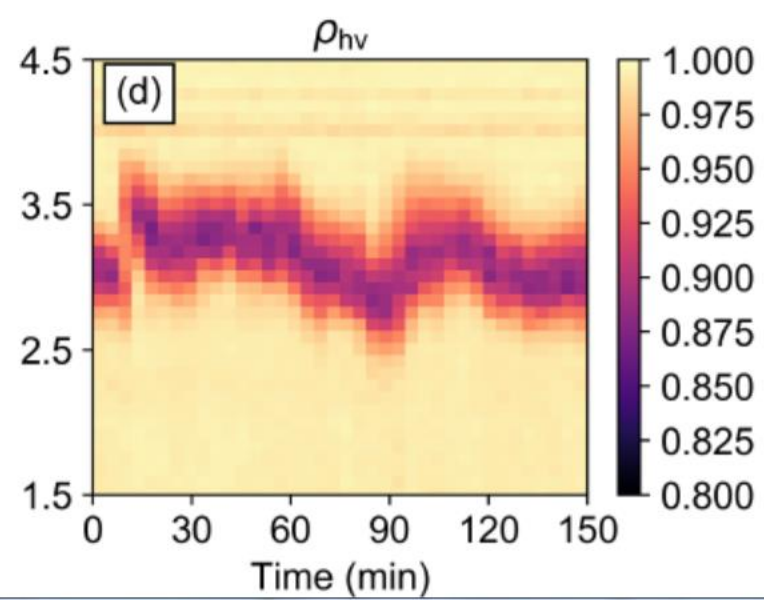
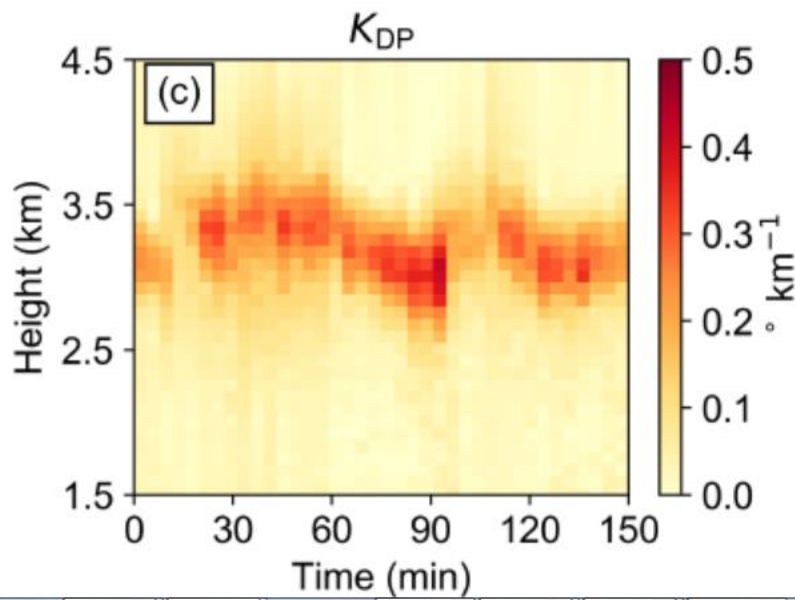
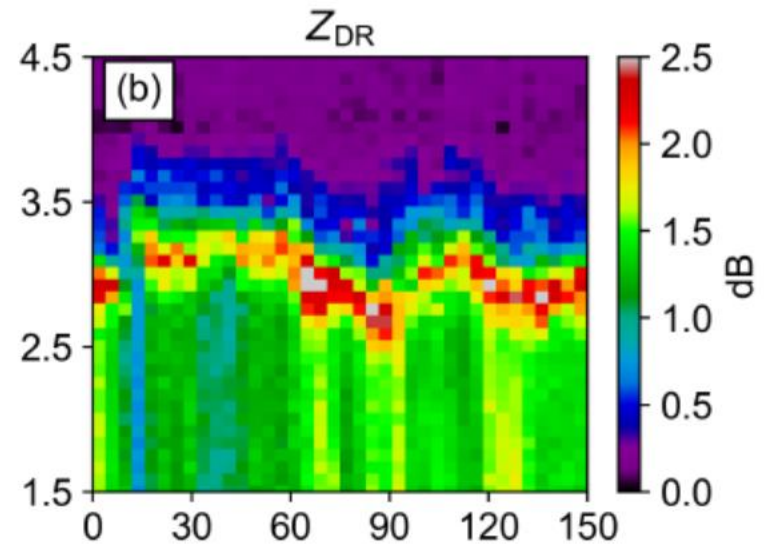
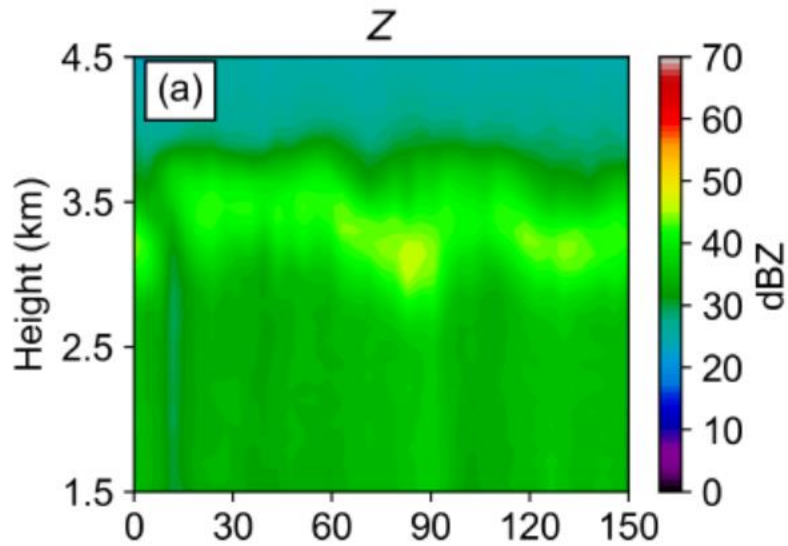
- Evaporation – induced cooling profiles in rain can be derived using a simple 1D evaporation model
- Values of  $Z$  and  $Z_{DR}$  at the top of the rain layer can be used as indexes of lookup tables for cooling rates
- Depending on  $Z_{DR}$ , cooling rates differ by an order of magnitude for the same  $Z$



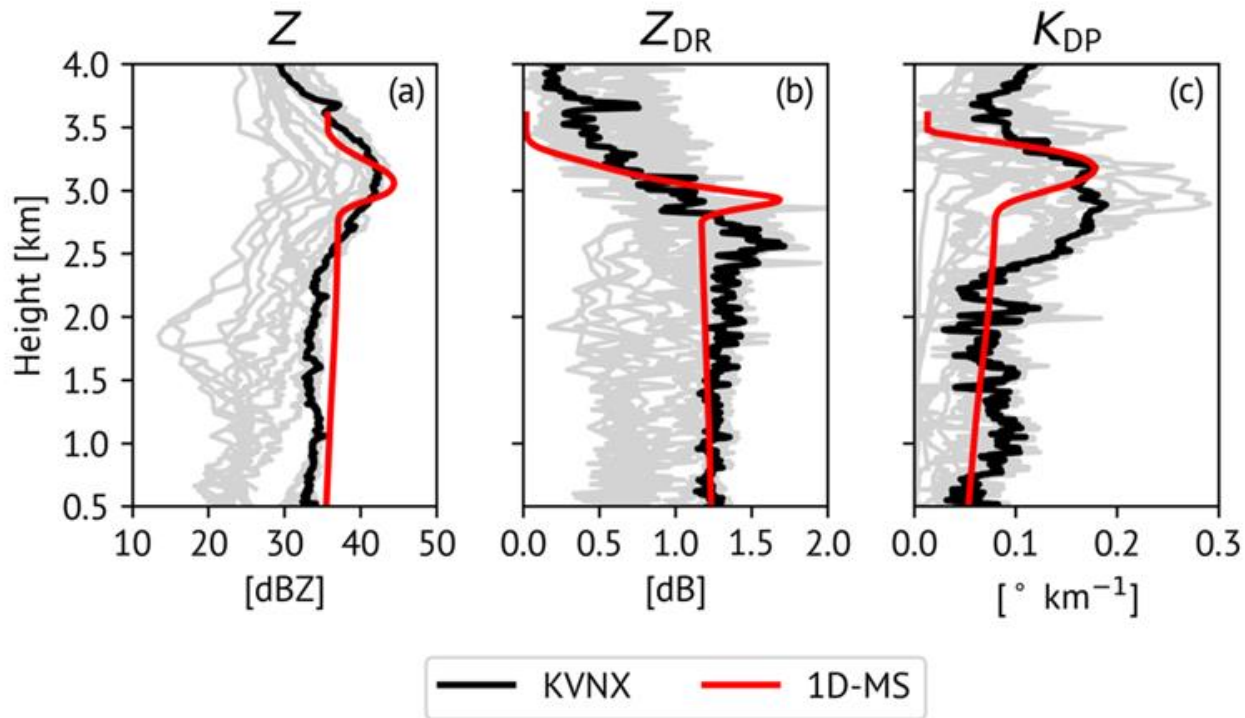




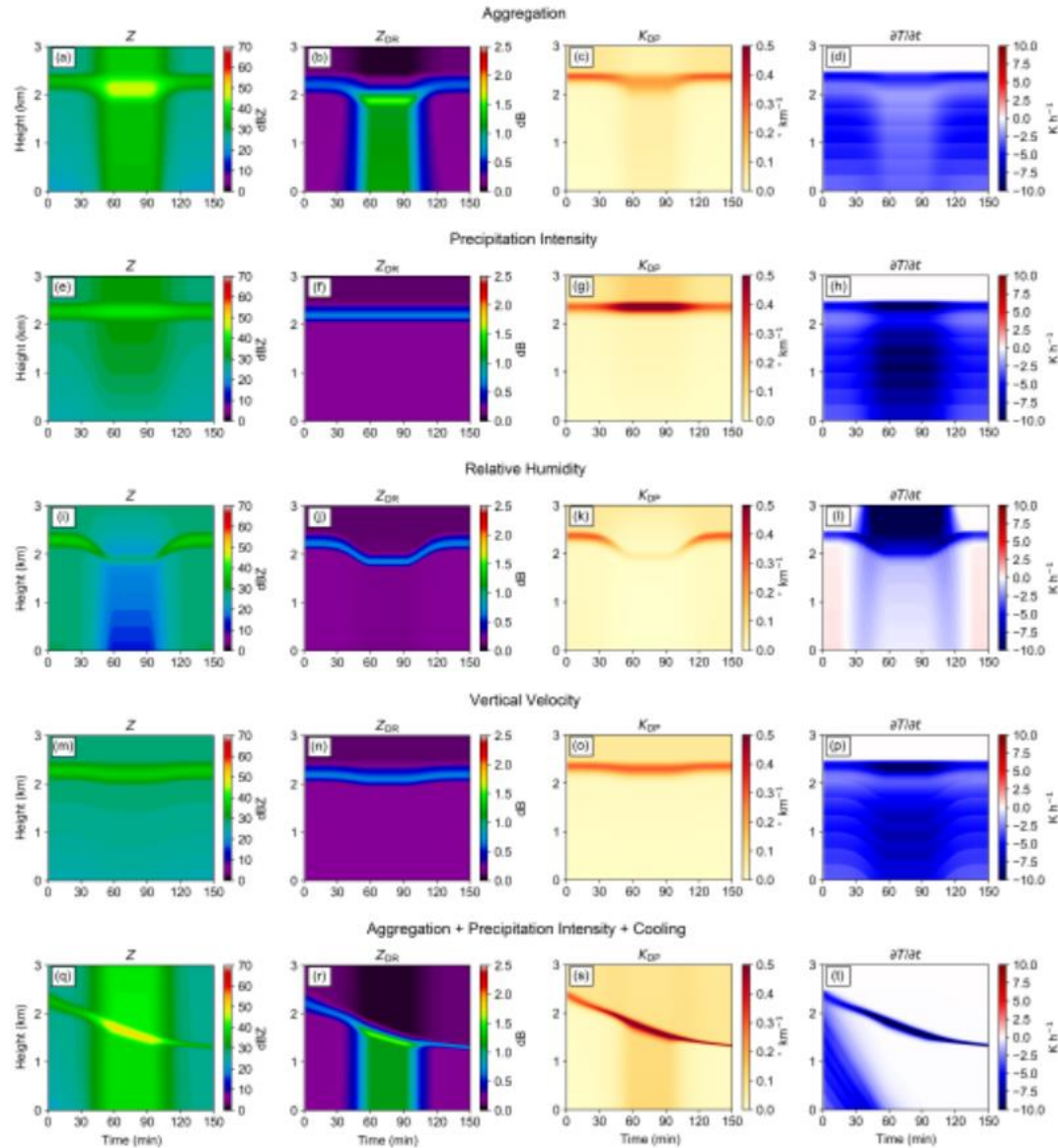
# Columnar vertical profiles (CVP) of polarimetric radar variables through the ML measured during MCS on May 20, 2011



# Comparison of simulated and observed vertical profiles of radar variables within the melting layer

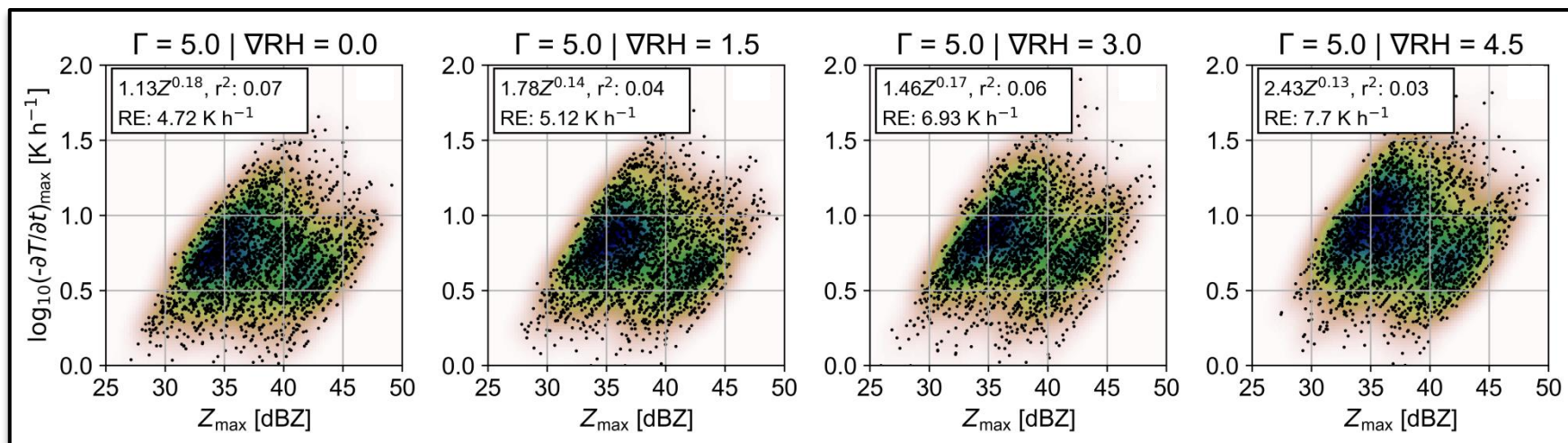


# Simulating possible mechanisms causing modulation of the ML height and strength





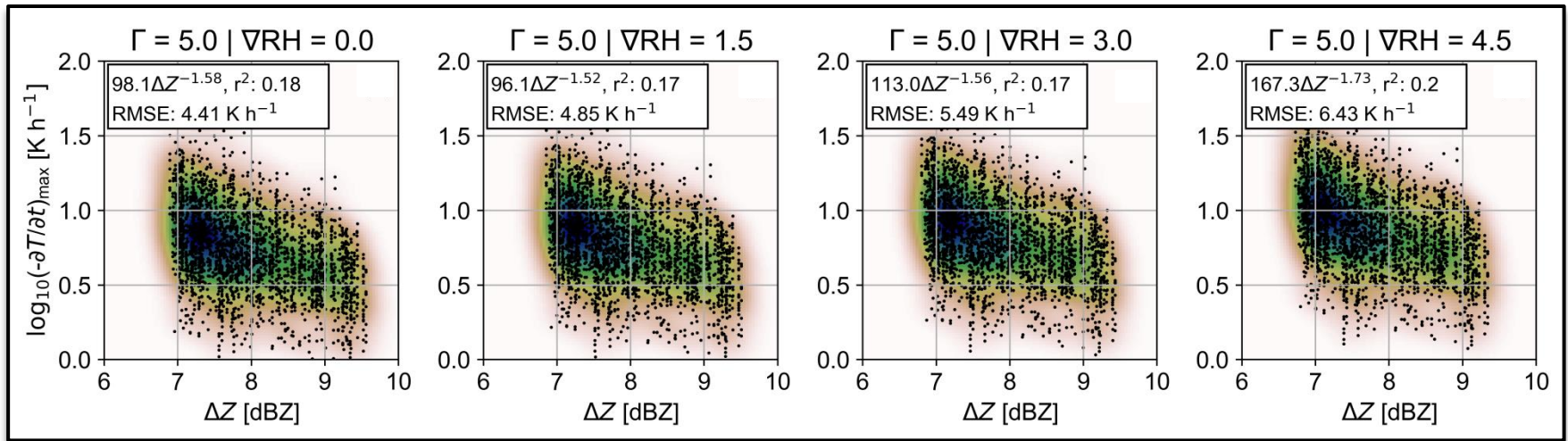
# Maximum Z v. Cooling Rate in the Melting Layer



Maximum Z in the brightband contains *little to no information* about the maximum cooling rate in the melting layer (high RMSE, low  $r^2$ ).

Bulk of cooling is due to smallest particles, with Z a strong function of the largest particles.

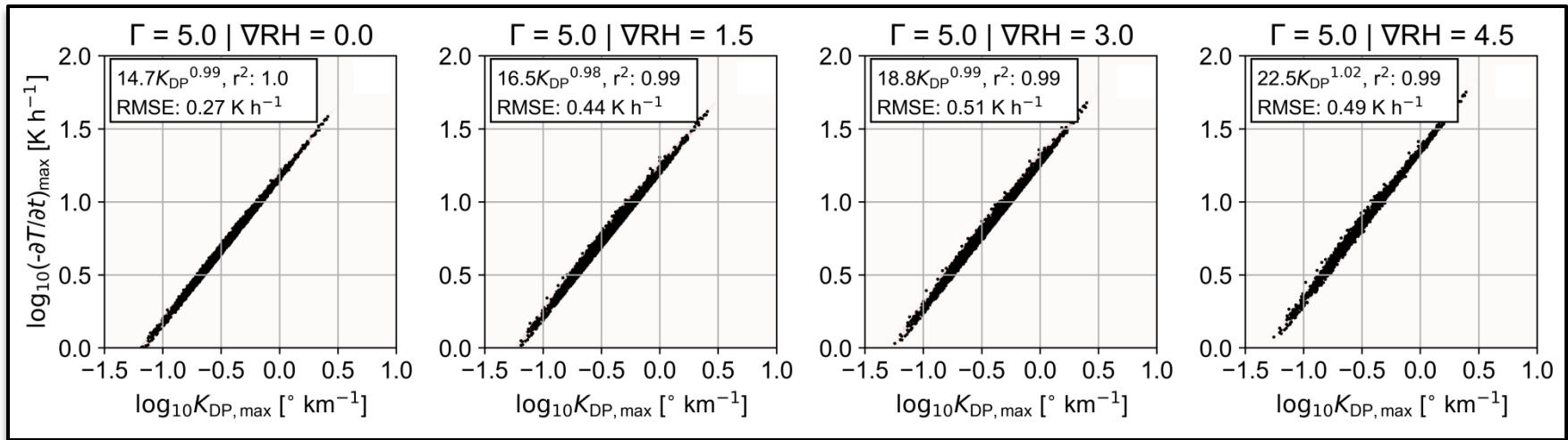
# Maximum $\Delta Z$ v. Cooling Rate in the Melting Layer



Maximum  $\Delta Z$  in the brightband tends to decrease for increasing cooling rates as distributions with large numbers of small particles tend to have smaller  $D_{\max}$ .

Maximum  $\Delta Z$  in the brightband contains *little to no information* about the maximum cooling rate in the melting layer (high RMSE, low  $r^2$ ).

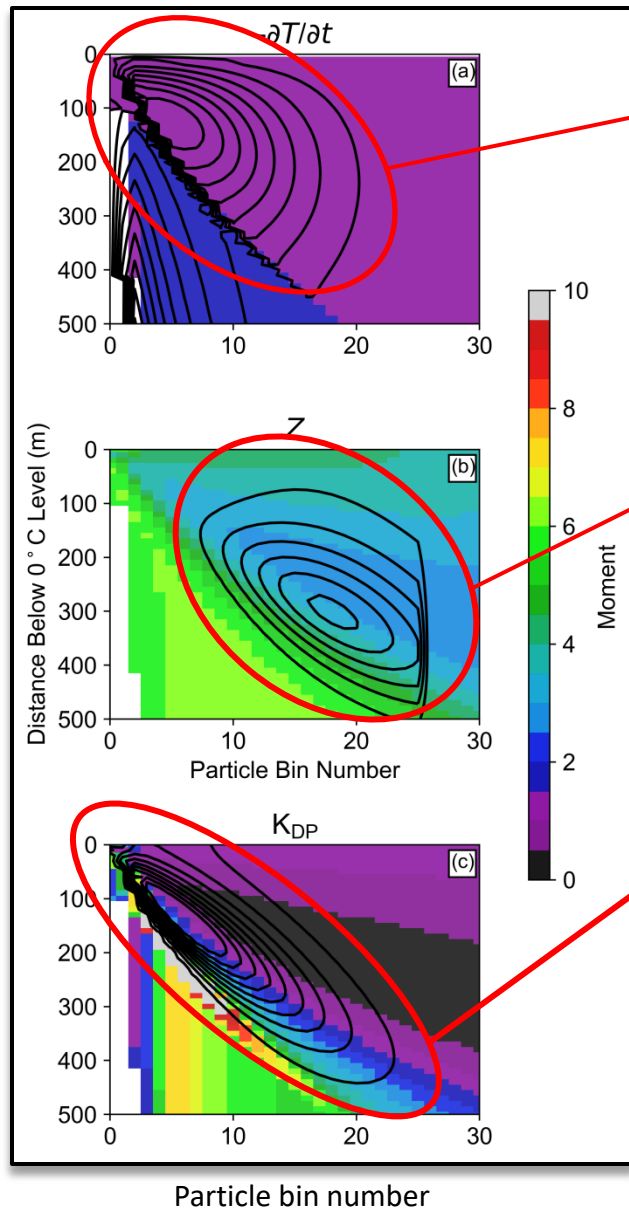
# Maximum $K_{DP}$ v. Cooling Rate in the Melting Layer



Maximum  $K_{DP}$  in the brightband is *very highly correlated* with the maximum cooling rate in the melting layer (low RMSE, high  $r^2$ ), in stark contrast to all other variables.

Coefficient of linear relationship changes as a  $f(\Gamma, RH)$ .

# What is responsible for this strong $K_{DP,max} - \partial T/\partial t_{max}$ relationship?



The cooling rate is approximately proportional to  $M_{1.5}$  of the PSD.

Z is approximately proportional to  $M_3 - M_{3.5}$  of the PSD.

$K_{DP}$  is approximately proportional to the  $M_{1.5}$  of the PSD for the bins with the majority of the contribution to  $K_{DP}$ .

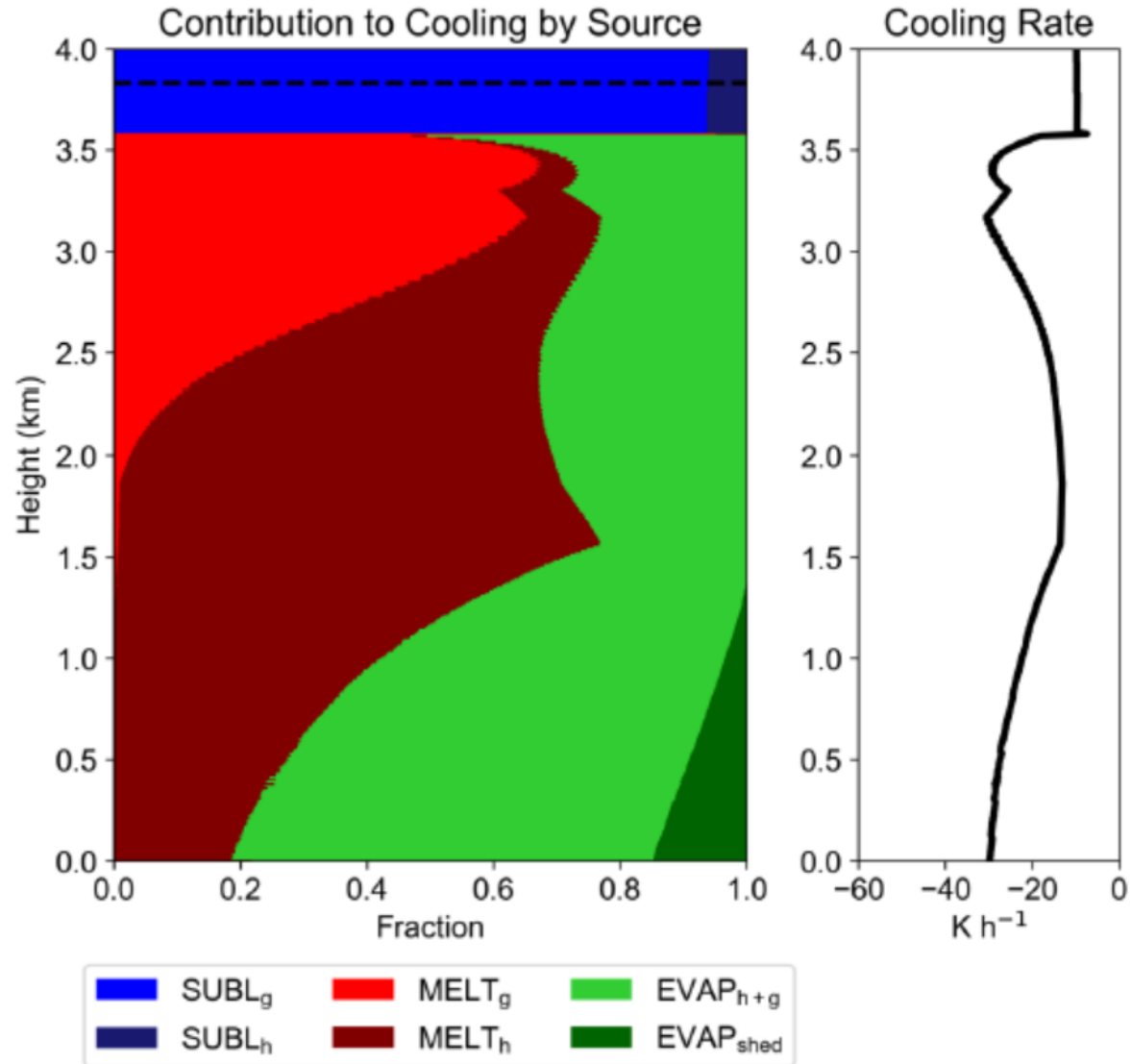


Moment of PSD variable  $\propto$  to

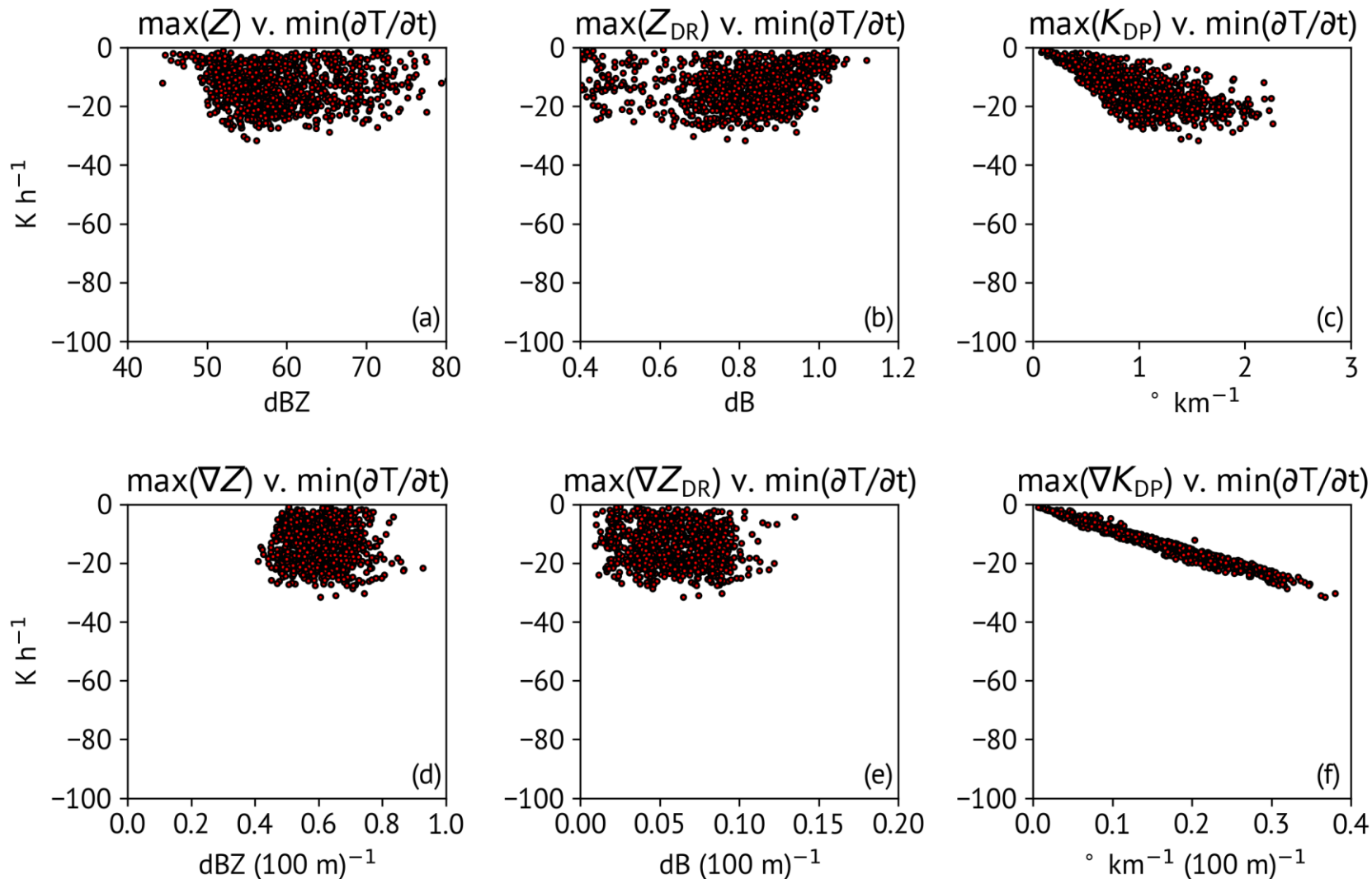


Contribution of variable in each bin

# Contribution of different processes to cooling rates in hailstorms below the freezing level



# Correlations of maximal cooling rate with various radar variables in hailstorm below the freezing level



# Forward operators

Pfeifer et al. (2008) A polarimetric radar forward operator for model evaluation

Jung et al. (2008) Assimilation of simulated polarimetric radar data for a convective storm using an Ensemble Kalman Filter, Part I: Observations operators for reflectivity and polarimetric variables

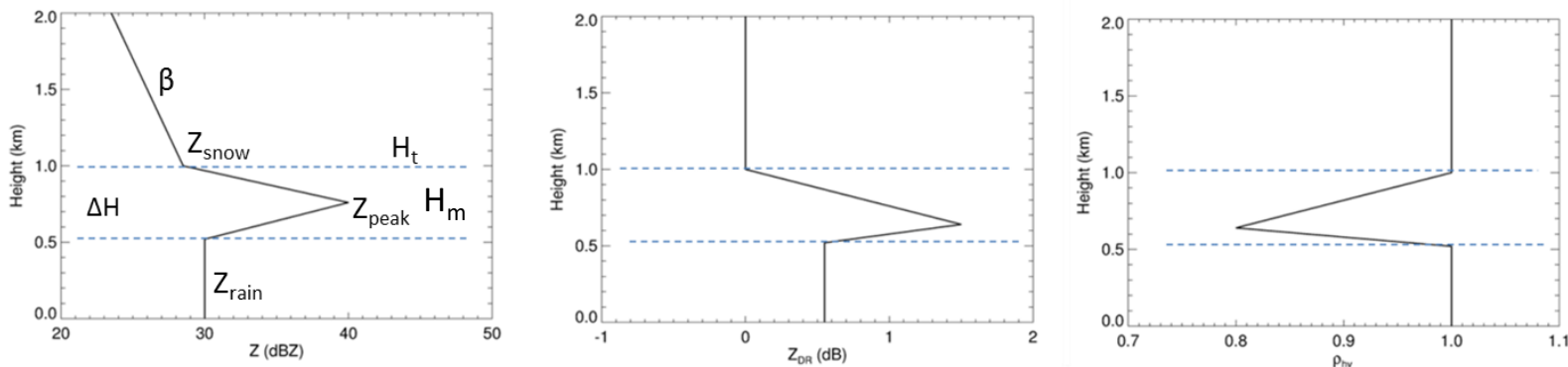
Ryzhkov et al. (2011) Polarimetric radar observation operator for a cloud model with spectral microphysics

Zeng et al. (2016) An efficient modular volume-scanning radar forward operator for NWP models: description and coupling to the COSMO model

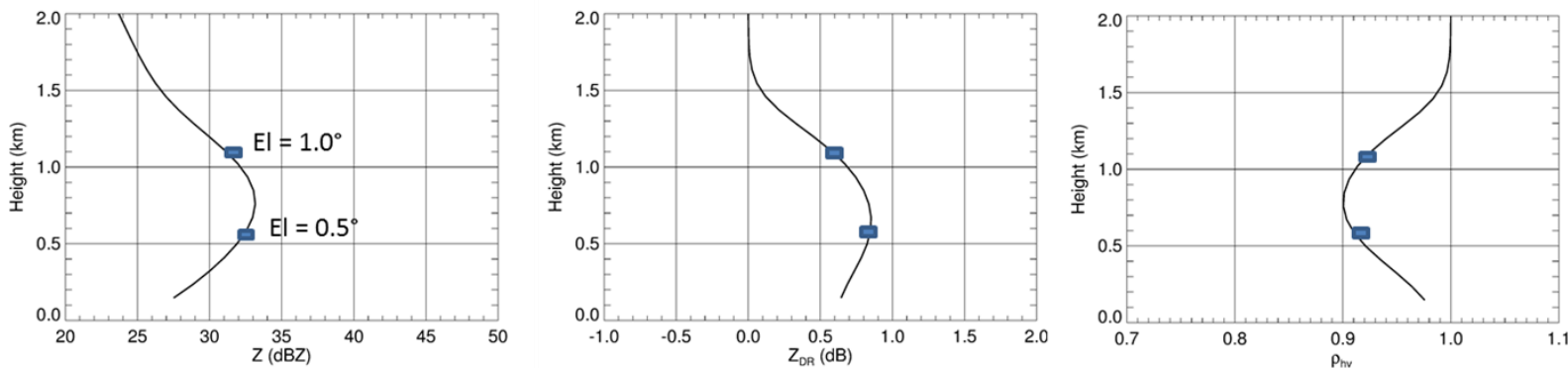
Wolfensberger and Berne (2018) From model to radar variables: a new forward polarimetric radar operator for COSMO

# Modeling intrinsic and measured vertical profiles of $Z$ , $Z_{DR}$ , and $\rho_{hv}$ within the melting layer

Intrinsic vertical profiles of  $Z$ ,  $Z_{DR}$ , and  $\rho_{hv}$

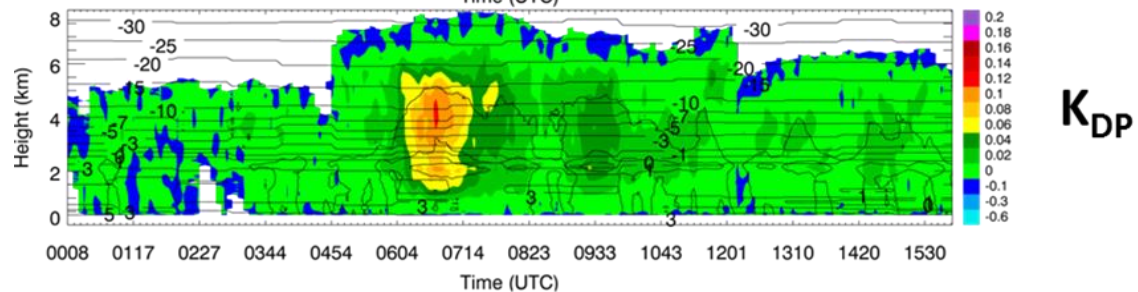
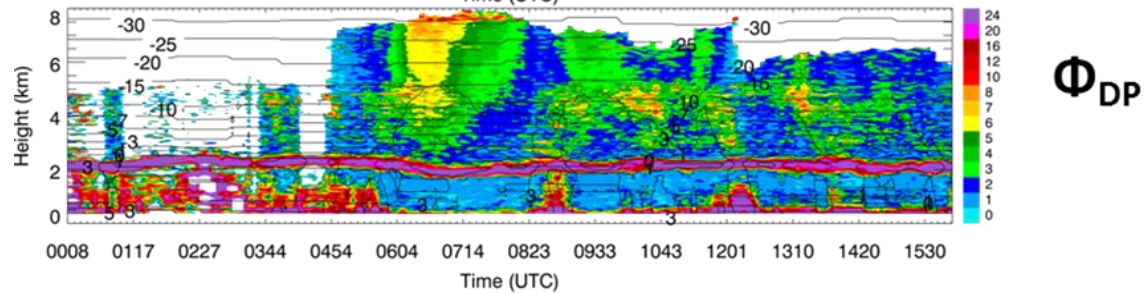
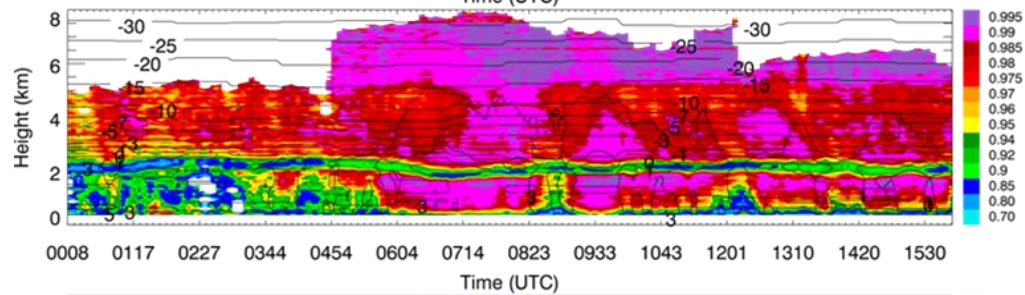
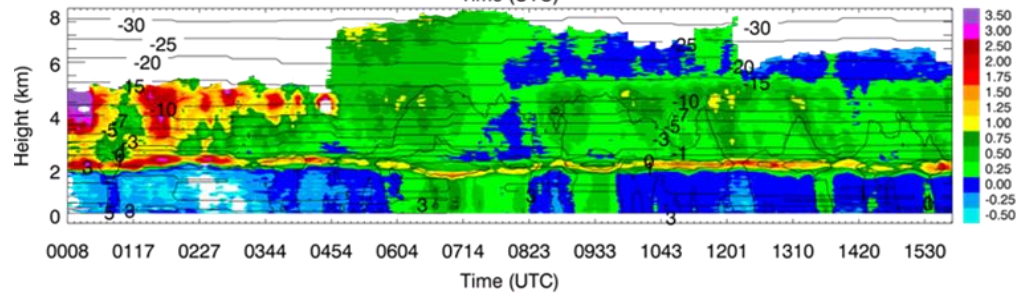
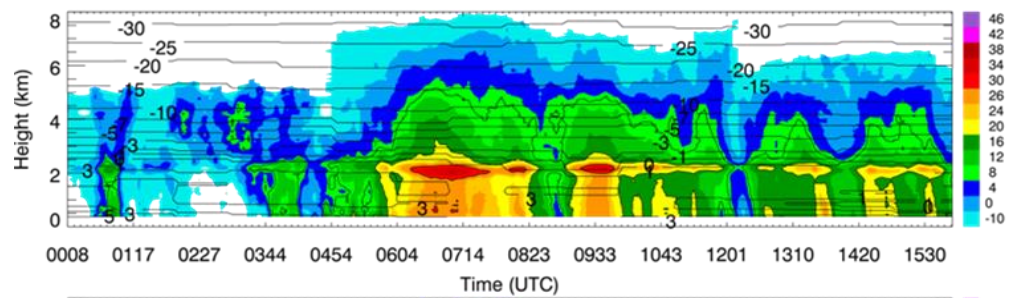


Measured vertical profiles of  $Z$ ,  $Z_{DR}$ , and  $\rho_{hv}$  at  $r = 50$  km

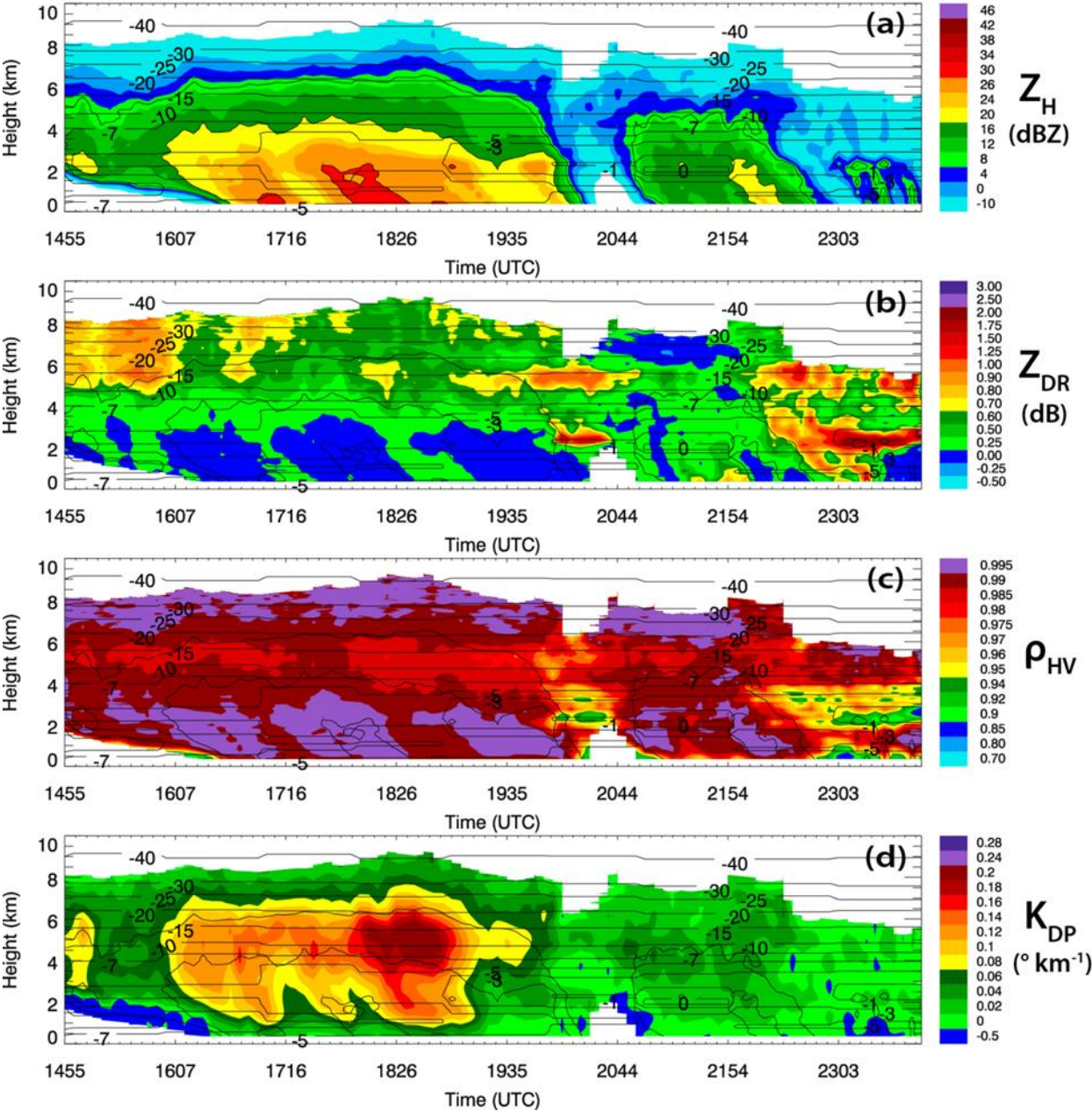


Intrinsic profiles are parameterized by a limited number of parameters: the differences between maximal values of  $Z$  and  $Z_{DR}$  within the ML and the ones in rain, depth of the ML, slope of the vertical dependence of  $Z$  above the ML, etc.

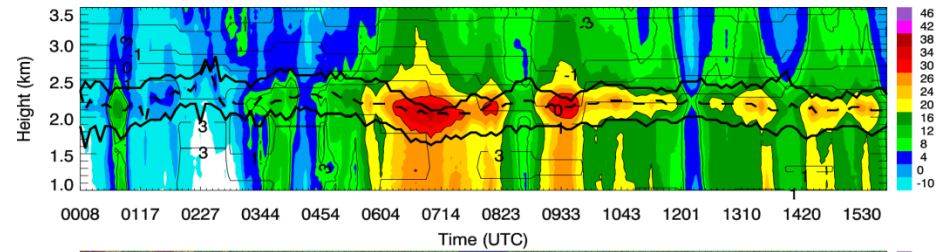




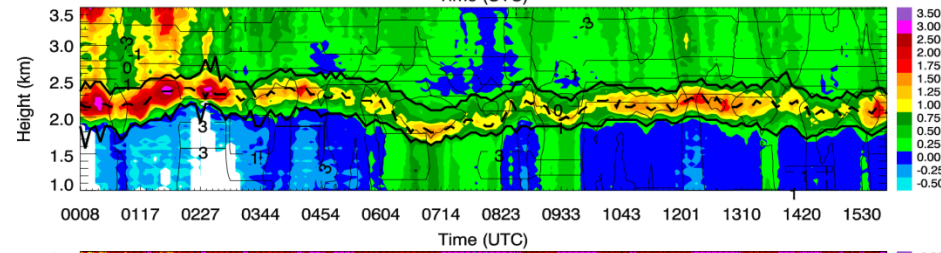
KDIX 9.9°  
8 Dec 2013



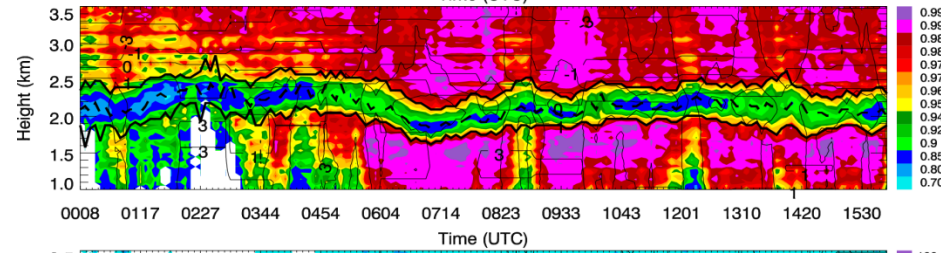
# QVP within the melting layer at S band



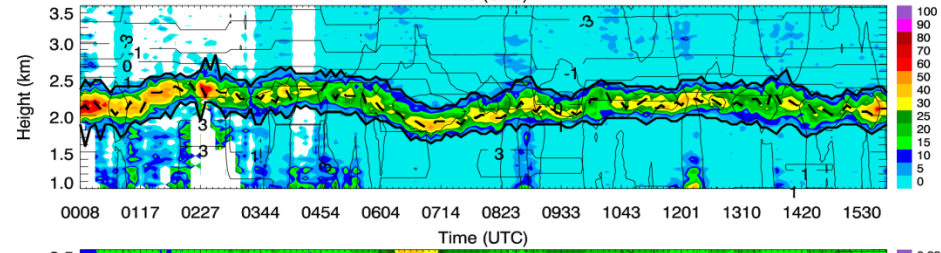
$Z$



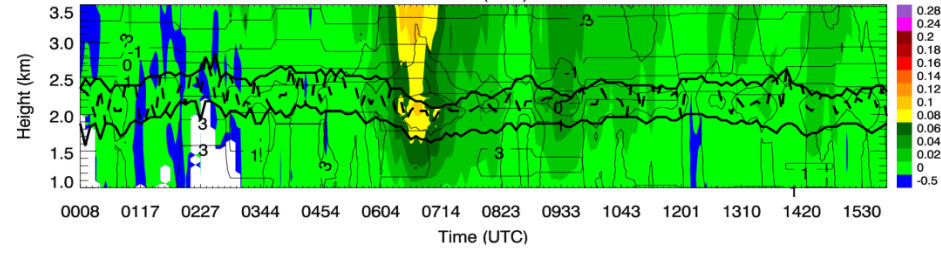
$Z_{DR}$



$\rho_{hv}$



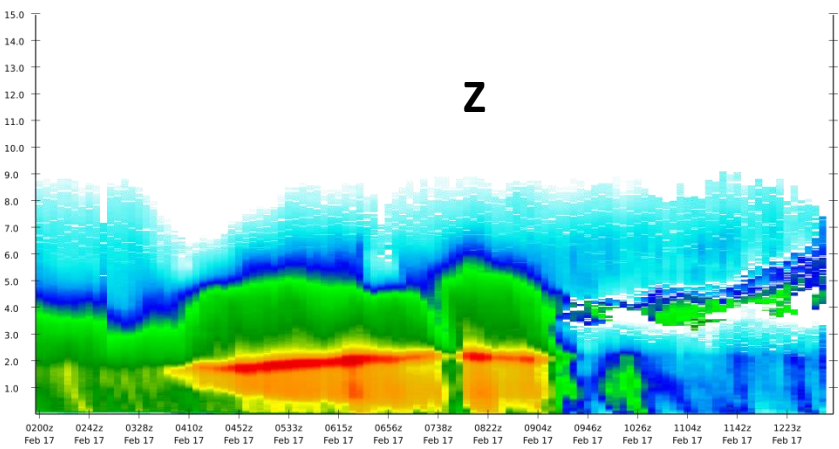
$\delta$



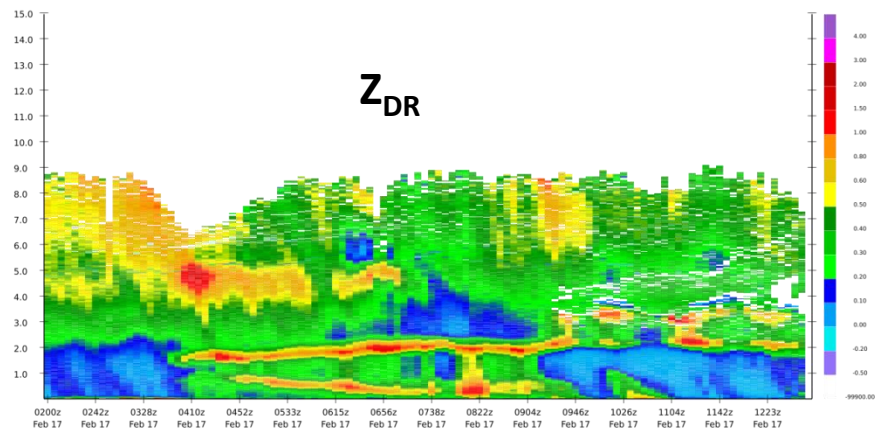
$K_{DP}$

# Refreezing signature

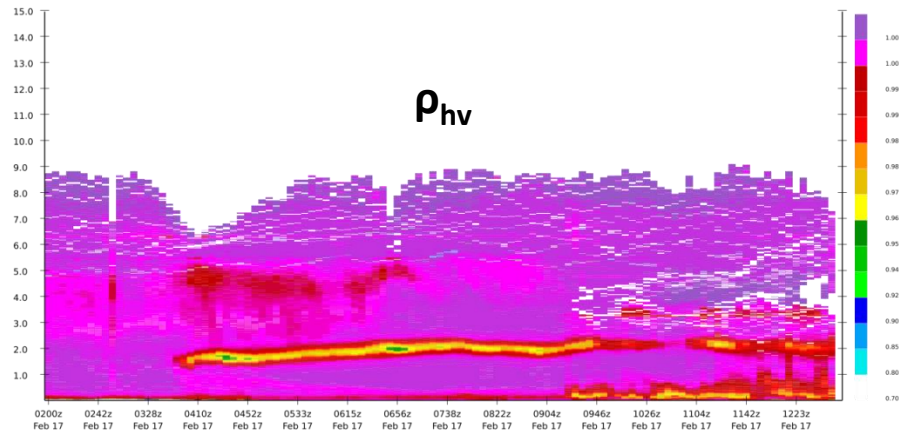
KAKQ Reflectivity from 20150217-020041 to 20150217-125235



KAKQ Zdr from 20150217-020041 to 20150217-125235



KAKQ RhoHV from 20150217-020041 to 20150217-125235



# Estimation of the width of the canting angle distributions $\sigma$

$$\frac{C_{dr}}{L_{dr}} \approx \frac{\langle |s_h|^2 \rangle}{\langle |s_h + s_v|^2 \rangle} \frac{1}{\sigma^2 + \langle \alpha \rangle^2}$$

$\langle \alpha \rangle$  - mean canting angle

$\sigma$  – width of the canting angle distribution

$s_{h,v}$  – scattering amplitudes at H and V polarizations

$$\sigma^2 = \frac{\langle |s_h|^2 \rangle}{\langle |s_h + s_v|^2 \rangle} \frac{L_{dr}}{C_{dr}} \quad \langle \alpha \rangle = 0$$

Linear depolarization ratio Ldr is a function of particle orientations whereas circular depolarization ratio CDR is not

$$C_{dr} \approx \frac{1 + Z_{dr}^{-1} - 2\rho_{hv}Z_{dr}^{-1/2}}{1 + Z_{dr}^{-1} + 2\rho_{hv}Z_{dr}^{-1/2}}$$

Cdr can be approximately estimated from Zdr and  $\rho_{hv}$  (Ryzhkov et al. 2017)

$$\frac{\langle |s_h|^2 \rangle}{\langle |s_h + s_v|^2 \rangle} = \frac{1}{1 + Z_{dr}^{-1} + 2\rho_{hv}Z_{dr}^{-1/2}}$$

The width of the canting angle distribution  $\sigma$  can be estimated from the combination of Ldr, Zdr, and  $\rho_{hv}$

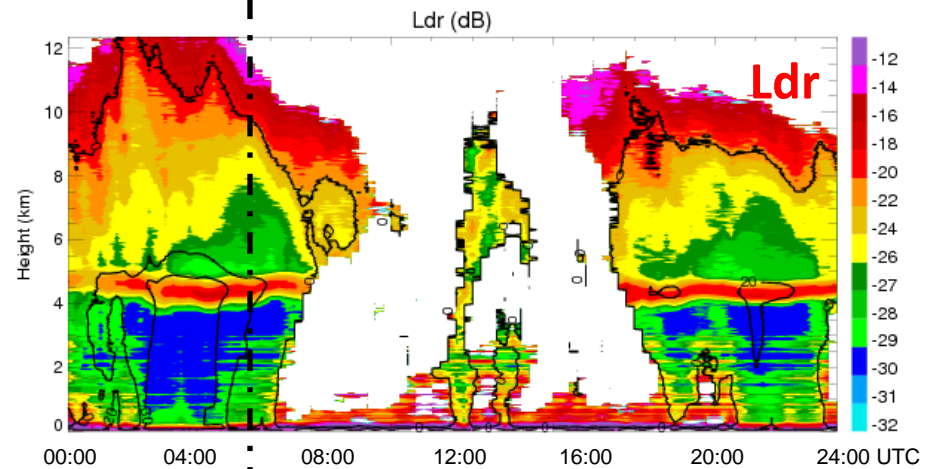
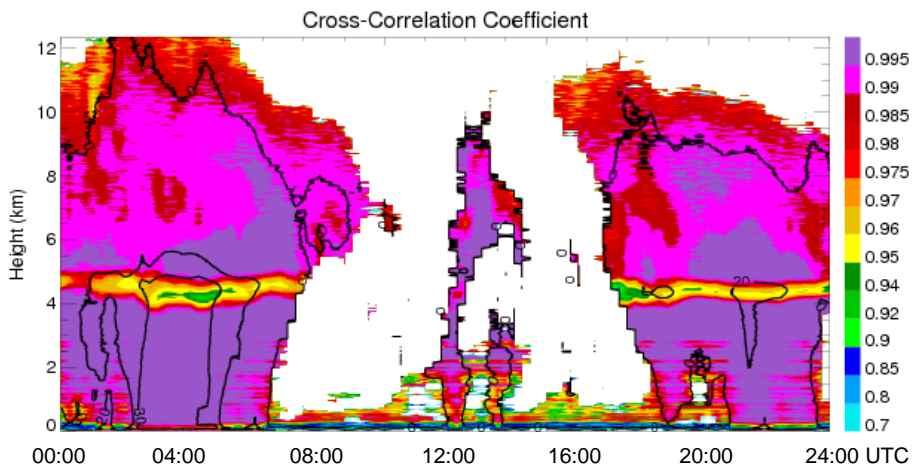
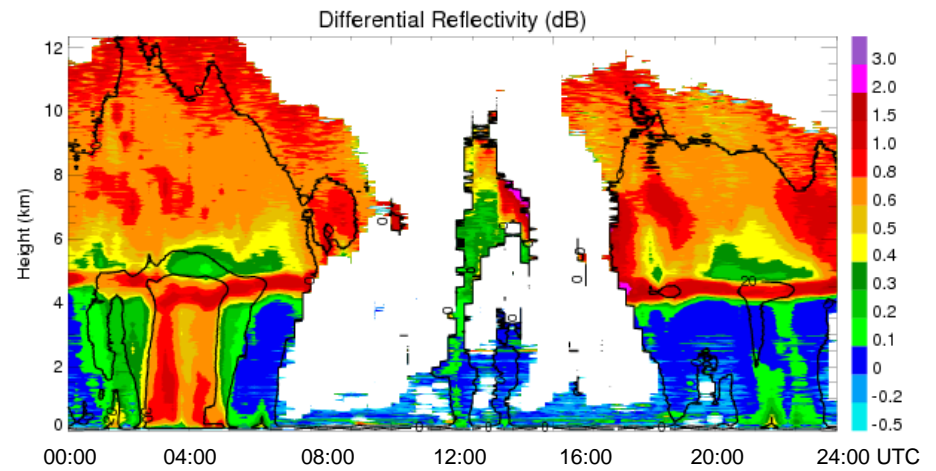
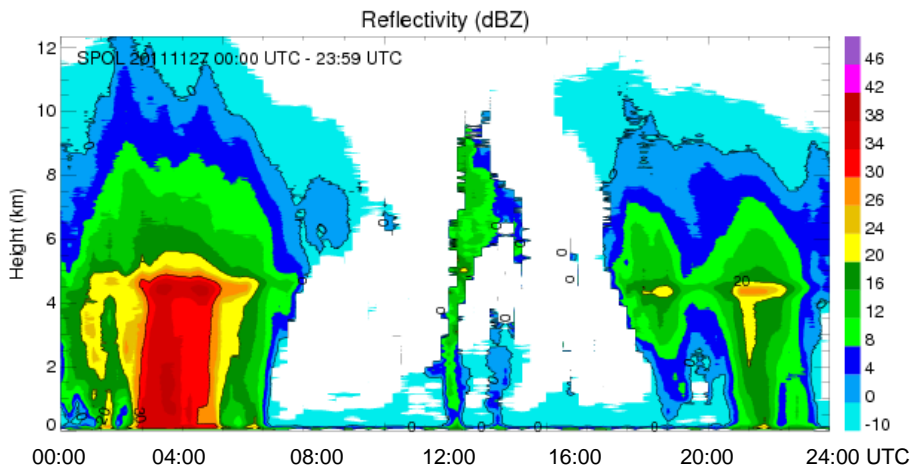
$$\sigma^2 = \frac{L_{dr}}{1 + Z_{dr}^{-1} - 2\rho_{hv}Z_{dr}^{-1/2}}$$

$$\sigma(\text{deg}) = \frac{180}{\pi} \frac{L_{dr}^{1/2}}{(1 + Z_{dr}^{-1} - 2\rho_{hv}Z_{dr}^{-1/2})^{1/2}}$$

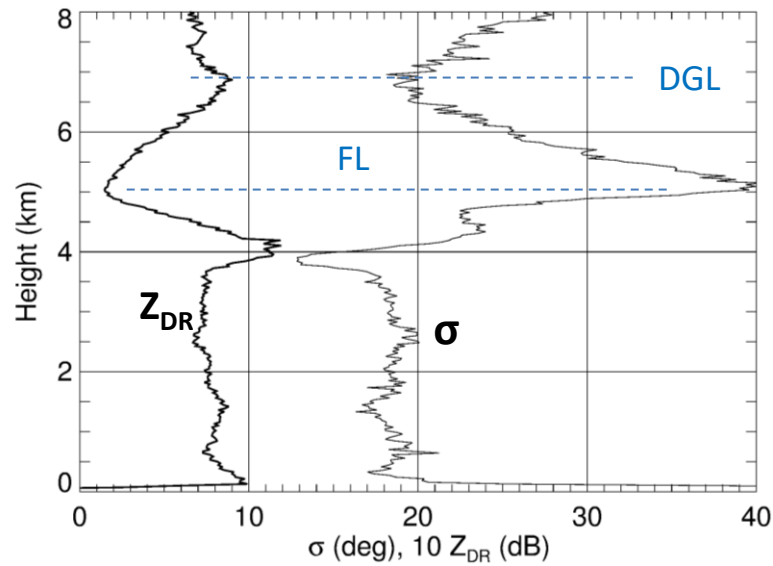
Ldr and Zdr are expressed in linear scale

# QVP SPOL

## 20111127 00:00-23:59 UTC



## Vertical profiles of $Z_{DR}$ and $\sigma$



DGL – dendritic growth layer  
FL – freezing level

The orientation spread  $\sigma$  is highly variable and may increase by a factor of 2 as a result of snow aggregation.